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11 UNITED STATES DISTRICT COURT
12 NORTHERN DISTRICT OF CALIFORNIA
13 SAN FRANCISCO DIVISION

14 SECURITIES AND EXCHANGE COMMISSION,

15 Plaintiff,

16 v.

17 JOHN V. BIVONA; SADDLE RIVER
18 ADVISORS, LLC; SRA MANAGEMENT
ASSOCIATES, LLC; FRANK GREGORY
19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III LLC;
22 FELIX INVESTMENTS, LLC; MICHELE
J. MAZZOLA; ANNE BIVONA; CLEAR
23 SAILING GROUP IV LLC; CLEAR
SAILING GROUP V LLC,

24 Relief Defendants.
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Case No. 3:16-cv-01386-EMC

**PLAINTIFF SECURITIES AND
EXCHANGE COMMISSION'S REPLY
REGARDING ENTRY OF FINAL
JUDGMENTS**

Date: N/A
Time: N/A
Courtroom: 5
Judge: Edward M. Chen

PLAINTIFF’S REPLY RE: ENTRY OF FINAL JUDGMENTS

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2 Plaintiff Securities and Exchange Commission (“Commission”) submits this Reply regarding
3 the Response by the SRA Investors Group (Docket No. 292) to entry of the proposed Final
4 Judgments against John and Anne Bivona, Frank and Michele Mazzola and Saddle River Advisors,
5 LLC. The SRA Investors Group did not object to the proposed Final Judgment against John and
6 Anne Bivona or the proposed Final Judgment against Frank and Michele Mazzola. The Commission
7 therefore requests that the Court enter now those two Final Judgments (Docket Nos. 285-2 and 286-
8 2) so that certain disgorgement payments can be made before the end of 2017.

9 With respect to the proposed Final Judgment against Saddle River Advisors (Docket No. 287-
10 2), the Commission does not object to the Court’s deferring consideration of that Final Judgment
11 until after the Court returns from its holiday break on January 3, 2018. Through its counsel, the
12 Receiver has advised the Commission that the Receiver agrees with the approach being taken by the
13 Commission.

14 DATED: December 22, 2017

Respectfully submitted,

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16 John S. Yun
17 John S. Yun
18 Attorneys for Plaintiff
19 SECURITIES AND EXCHANGE COMMISSION
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CERTIFICATE OF SERVICE

I, Eric Pease, am a citizen of the United States, over 18 years of age, and am not a party in this action. I hereby certify that on December 22, 2017, I caused the following document:

PLAINTIFF SECURITIES AND EXCHANGE COMMISSION’S REPLY REGARDING ENTRY OF FINAL JUDGMENTS

to be served via UPS marked for next day delivery on the following:

Frank Gregory Mazzola
27 Dogwood Hill Drive
Upper Saddle River, NJ 07458

Michele J. Mazzola
27 Dogwood Hill Drive
Upper Saddle River, NJ 07458

 /s/ Eric Pease
Eric Pease
Paralegal Specialist
Division of Enforcement