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5 Counsel to Receiver Sherwood  
Partners, Inc.  
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8 **UNITED STATES DISTRICT COURT**  
9 **NORTHERN DISTRICT OF CALIFORNIA**

10 SECURITIES AND EXCHANGE  
COMMISSION,

11 Plaintiff,

12 v.

13 JOHN V. BIVONA; SADDLE RIVER  
14 ADVISERS, LLC; SRA  
MANAGEMENT ASSOCIATES, LLC;  
15 FRANK GREGORY MAZZOLA

16 Defendants, and

17 SRA I LLC; SRA II LLC; SRA III  
18 LLC; FELIX INVESTMENTS,  
LLC; MICHELE J. MAZZOLA;  
19 ANNE BIVONA; CLEAR  
SAILING GROUP IV LLC;  
20 CLEAR SAILING GROUP V LLC,

21 Relief Defendants.  
22

Case No. 3:16-cv-1386

**NOTICE OF LODGING OF  
CHANGE IN NAME OF LAW  
FIRM ACTING AS LOCAL  
NEW YORK COUNSEL TO  
RECEIVER**

Date: June 11, 2018

Time: N/A

Courtroom:

Judge: Edward M. Chen

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24 On October 20, 2016, pursuant to 28 U.S.C. §754, the Receiver  
25 requested, and the Court approved, the retention of the local New York law  
26 firm of DiConza Traurig Kadish, LLP, and its partner Allen Kadish as local  
27 New York counsel. Mr. Kadish has acted as local New York counsel, both for  
28 purposes of participating in the bankruptcy matter of defendant John Bivona, as

1 well as to handle matters related to actions filed against the defendants in the  
2 courts of New York.

3 On February 1, 2018, the practice of DiConza Traurig Kadish LLP was  
4 merged with the firm of Archer & Greiner P.C. Mr. Kadish has conducted a  
5 conflict check of the clients currently represented by Archer & Greiner against  
6 the list of defendants and known investors and creditors of the Receivership  
7 Estate. The conflict check turned up no instances of any known conflicts. Mr.  
8 Kadish will continue to act as counsel to the Receiver in this matter, in the  
9 capacities described above, while a member of the Archer firm.

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11 Respectfully submitted:

12  
13 June 11, 2018

*/s/ John W. Cotton*

14 John W. Cotton  
15 Counsel to Sherwood Partners, Inc.  
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