

1 KATHY BAZOIAN PHELPS (State Bar No. 155564)  
2 *kphelps@diamondmccarthy.com*  
3 DIAMOND MCCARTHY LLP  
4 1999 Avenue of the Stars, Suite 1100  
5 Los Angeles, California 90067-4402  
6 Telephone: (310) 651-2997

7 *Successor Receiver*

8 **UNITED STATES DISTRICT COURT**  
9 **NORTHERN DISTRICT OF CALIFORNIA**  
10 **SAN FRANCISCO DIVISION**

11 SECURITIES AND EXCHANGE  
12 COMMISSION,

13 Plaintiff,

14 v.

15 JOHN V. BIVONA; SADDLE RIVER  
16 ADVISORS, LLC; SRA  
17 MANAGEMENT ASSOCIATES,  
18 LLC; FRANK GREGORY  
19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III  
22 LLC; FELIX INVESTMENTS, LLC;  
23 MICHELE J. MAZZOLA; ANNE  
24 BIVONA; CLEAR SAILING GROUP  
25 IV LLC; CLEAR SAILING GROUP V  
26 LLC,

27 Relief Defendants.

Case No. 3:16-cv-01386-EMC

**DECLARATION OF KATHY BAZOIAN  
PHELPS IN SUPPORT OF RECEIVER'S  
MOTION TO DISALLOW CERTAIN  
CLAIMS**

Date: June 27, 2019

Time: 1:30 P.M.

Location: Courtroom 5, 17<sup>th</sup> Floor  
450 Golden Gate Ave.  
San Francisco, CA 94102

28 I, Kathy Bazoian Phelps, declare as follows:

1. I am the Court-appointed permanent receiver for SRA Management Associates LLC, SRA I, LLC, SRA II, LLC, SRA III, LLC, Clear Sailing Group IV, LLC, Clear Sailing Group V, LLC, Felix Multi-Opportunity Fund I, LLC, Felix Multi-Opportunity Fund II, LLC,

1 Felix Management Associates, LLC, NYPA Fund I, LLC, NYPA Fund II, LLC, and NYPA  
2 Management Associates, LLC (collectively, the "Receivership Entities").

3 2. This Declaration is given in support of my Motion to Disallow Certain Claims  
4 (the "Motion"). I have discussed this Motion with counsel for the SEC and the SRA Investor  
5 Group and do not believe that they oppose the relief requested in the Motion.

6 3. I have personal knowledge of the facts set forth in this Declaration, and, if called  
7 to testify, could testify competently thereto.

8 4. I have reviewed the information aggregated by the former appointed receiver  
9 Sherwood Partners, Inc. (the "Former Receiver") and the SEC relating to claims, have obtained  
10 claims information from the Former Receiver's claims agent. I have conferred with the SEC  
11 regarding the comparison of the filed claims to the records of the Receivership Entities and the  
12 organization of the claims information.

13 5. My review of the claims information in this case reveals that there are certain  
14 categories of claims filed that should not appropriately be allowed as claims against the  
15 Receivership estate. I have filed the Motion seeking to refine the allowed claims to facilitate  
16 evaluation of the proposed distribution plan pending before the Court. The Motion does not fully  
17 resolve all possible claims objection but seeks a finding that certain claims should be disallowed  
18 as set forth in the Motion. Other possible objections to different types of claims have been  
19 excluded at this time, i.e., claims in connection with guarantees or backend fees or commissions,  
20 which I intend to address by separate motion if not otherwise resolved.

21 6. Prior to my appointment, the Former Receiver served a Notice of Bar Date,  
22 establishing January 31, 2018 as the claims bar date (the "Original Bar Date"). I am advised that  
23 approximately 17 claims were submitted after the Original Bar Date, but prior to the date when  
24 the Former Receiver submitted a Claims Report to the Court on June 14, 2018 [Docket No. 340]  
25 ("Former Receiver's Claims Report"). Approximately 7 additional claims were submitted after  
26 the filing of the Former Receiver's Claims Report but prior to my appointment as Receiver.

27 7. At the hearing on February 28, 2019 at which I was appointed, I requested  
28

1 authority to serve a new notice of a claims bar date to provide creditors and investors who had  
2 not received notice an opportunity to file claims. The date of May 14, 2019 was fixed as the new  
3 bar date for these claims (the “Supplemental Bar Date”), and I served notice of that bar date  
4 pursuant to the Court’s Minute Order dated February 28, 2019 [Docket No. 470].

5 8. Since my appointment on February 28, 2019, I have received 23 new claims that  
6 were submitted prior to the Supplemental Bar Date.

7 9. Attached hereto as Exhibit “1” is a spreadsheet listing the claims filed for  
8 amounts that have previously been disbursed and/or liquidated. The proposed disallowance of  
9 these claims is based on the review of each claim by the Former Receiver and the SEC and the  
10 reconciliation of each claim with the Receivership Entities' books and records. In many  
11 instances, the proofs of claims themselves reflected prior disbursements made and, in other  
12 instances, the books and records of the Receivership Entities reflect disbursements made.  
13 Specifically, many of the claims for Flurry, Inc. and Square Inc. have already received  
14 disbursements. Since the amounts available from those investments have already been distributed  
15 to these claimants, they would receive a windfall if the claimants received any additional  
16 distributions. Accordingly, I believe that disallowance of each of the claims set forth on Exhibit  
17 “1” is appropriate. Exhibit “1” does not include claims based on investments in Flurry and  
18 Square for investor claimants who have not received prior disbursements and those claims are  
19 being separately tracked for allowance.

20 10. Attached hereto as Exhibit “2” is a spreadsheet listing claims asserted against the  
21 Receivership estate that involve non-receivership entities. These claimants invested their funds  
22 through other companies, or made their investments directly into the company the claimants  
23 intended to invest in. The investor funds were not paid to the Receivership Entities based on such  
24 investments. Investors paid their money to a non-receivership entity or invested directly into  
25 their intended investment, so no money was paid to any of the Receivership Entities in  
26 connection with the claims submitted. Accordingly, I believe that it is not appropriate to allow a  
27 claim against the estate of the Receivership Entities. Rather, these claimants are free to pursue  
28

1 their claims against the company that they invested with or invested in. I believe that  
2 disallowance of each of the claims listed on Exhibit “2” is appropriate.

3 11. Attached hereto as Exhibit “3” is a spreadsheet listing duplicate claims. I seek to  
4 disallow all duplicate claims. Exhibit “3” identifies the allowed claim and also identifies the  
5 duplicate claim that is proposed to be disallowed in full. The duplicate claim will be disallowed,  
6 but the original claim be allowed, unless that claim is identified for objection on another basis.

7 12. Some of the intended investments have failed at this time, which are described  
8 more fully below (the “Failed Investments”). The underlying companies have filed bankruptcy  
9 petitions, been acquired or otherwise wound down their business operations. The proofs of claim  
10 submitted for recovery in connection with the presently known Failed Investments are identified  
11 in Exhibit “4” hereto. I am advised that the Court has previously indicated its intention to  
12 disallow any recovery to claimants in Failed Investments, which is the basis of these objections.

13 13. The currently known Failed Investments are identified below, and I intend the  
14 defined term to include any of the investments that may fail in the future.

15 14. The currently known Failed Investments are described as follows, with a  
16 description of the reason why the company did not go public that I was able to obtain from  
17 publicly filed information:

- 18 a. Aliphcom d/b/a Jawbone: This company began liquidations proceedings in June  
19 2017. The startup company failed so investors will not receive any recovery from  
20 this investment.
- 21 b. Badgeville, Inc.: This company was not able to successfully go public and was  
22 acquired by Callidus Software on June 2016. The company failed to go public so  
23 investors will not receive any recovery from this investment.
- 24 c. Candi Controls: This company was not able to successfully go public and its  
25 intellectual property assets were acquired by Altair Corp. in May 2018. The  
26 company failed to go public so investors will not receive any recovery from this  
27 investment.

- 1 d. Glam Media, Inc.: This company was renamed to Mode Media but was then shut  
2 down in September 2016. It was then acquired by BrideClick in June 2017. The  
3 company failed to go public so investors will not receive any recovery from this  
4 investment.
- 5 e. Jumio, Inc.: This company filed bankruptcy in 2016. The company failed to go  
6 public so investors will not receive any recovery from this investment.
- 7 f. Odesk Corporation: This company was acquired by Upwork Global in 2013. The  
8 company failed to go public so investors will not receive any recovery from this  
9 investment.
- 10 g. Practice Fusion: This company was sold to Allscripts in 2018 and is continuing to  
11 operate as a subsidiary. However, it will not go public and I received funds  
12 totaling \$15,500.09 as the buyout of the share held by the Receivership Entities.  
13 Given the relatively small amount of funds in relation to the net amounts invested  
14 claims for Practice Fusion shares (\$4,065,260), I propose to disallow all of the  
15 Practice Fusion claims as Failed Investment claims.
- 16 h. Virtual Instruments Corp.: The company was acquired by Loan DynamIX, Inc. in  
17 March 2016. The company failed to go public so investors will not receive any  
18 recovery from this investment.

19 14. I have included the Failed Investment Claims in the Motion, seeking disallowance  
20 in full, so that the claimants will have had notice of the Court's intention to disallow their claims  
21 in their entirety as part of the distribution plan process

22 15. I am advised that the Former Receiver accepted late-filed claims and included  
23 them in the June 14, 2018 Claims Report submitted to the Court [Docket No. 340]. I am further  
24 advised that those claims were previously reviewed and evaluated, so I have not done an analysis  
25 of the claims submitted through June 14, 2018. Following the June 14, 2018 Claims Report,  
26 additional claims were submitted to the Former Receiver, which were included in the claims  
27 information delivered to me. Following my appointment on February 28, 2019, 23 additional  
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1 claims were received. Technically, all of the claims submitted after January 31, 2018 described  
2 above are late-filed (the “Late Claims”). I am advised that each of the Late Claims have been  
3 reviewed by the SEC and compared to the records of the Receivership Entities. With a few  
4 relatively minor exceptions, those claims appear to be accurate and to reflect the actual  
5 investments made by the investors.

6 16. With respect to the 23 proofs of claim that have been submitted to me following  
7 my appointment and prior to the Supplemental Bar Date, I do not intend to object to those claims  
8 on the basis of timeliness for several reasons. In the notice and proof of claim form regarding the  
9 Supplemental Bar Date, I requested that each such claimant complete an Attestation under oath  
10 as to the reason why a claim had not timely been filed by the Original Bar Date. In each case, the  
11 claimants advised that they had not received notice of the Original Bar Date or a similarly  
12 acceptable explanation for the timing of the submission of the claim following the Original Bar  
13 Date. I have reviewed all claims filed after February 28, 2019, and do not believe that objection  
14 to any of those claims is appropriate on the basis of being late-filed. Moreover, the books and  
15 records of the Receivership Entities reflect these claims amounts are correct, so the underlying  
16 claims themselves appear valid. I also believe that the uncertainty regarding the firmness of the  
17 Original Bar Date should not be permitted to excuse some late claims and not others. Finally,  
18 since a final distribution plan has not yet been approved, I do not believe that there is any  
19 prejudice to allowing those claims.

20 17. Accordingly, even though “Late-Filed Claims” is included as a category in the  
21 Motion, I decline to object to claims on the basis of a late-filed objection that were filed after the  
22 Original Bar Date but that were submitted on or before the Supplemented Bar Date. I note,  
23 however, that there may be other bases to object to those same claims and, if so, those claims  
24 have been included in other sections of the Motion.

25 18. Although I do not intend to object to any claims on the basis of them being  
26 untimely where the proof of claim was submitted on or before May 14, 2019, the absence of a  
27 present objection on the basis of untimeliness is not a waiver of my rights to file a subsequent  
28

1 objection on this basis for any claim submitted in writing after the May 14, 2019 Supplemental  
 2 Bar Date, whether any such claim has been submitted in writing or was submitted on an informal  
 3 basis before or after the Supplemental Bar Date. Instead, I request an order disallowing as  
 4 untimely any claim filed after May 14, 2019 without the necessity of a further notice, hearing or  
 5 order unless the claimant filing a claim after May 14, 2019 obtains an order of the Court after  
 6 notice and an opportunity for hearing expressly allowing the claim to be considered timely  
 7 despite its filing after May 14, 2019.

8        19. I have or will send an email or letter to each investor with a unique identifying  
 9 Investor I.D. Number assigned to them so that they may identify their particular claim on  
 10 Exhibits “1” to “4” attached hereto. I believe it is appropriate to provide notice to the investors in  
 11 this matter to protect their privacy rather than placing their names and amounts of their claims in  
 12 the public record. Accordingly, I believe that each investor will have received proper notice of  
 13 the Investor I.D. Number as well as of the Motion.

14  
 15        I declare under penalty of perjury under the laws of the United States of America that  
 16 the foregoing is true and correct.

17        Executed on May 23, 2019, at Los Angeles, California.  
 18

19          /s/ Kathy Bazoian Phelps      

20    Kathy Bazoian Phelps  
 21    Successor Receiver

# EXHIBIT 1



INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
4	SQUARE, INC.	10,000	9,200	46
5	SQUARE, INC.	187,500	187,500	12,500
9	BOX- SERIES X	16,419	16,419	469
9	CHECK- SERIES X	11,416	11,416	4,151
9	SQUARE- SERIES X	47,843	47,843	217
13	PALANTIR TECHNOLOGIES INC.	N/A	N/A	N/A
13	TWITTER	N/A	N/A	N/A
23	BOX- SADDLE RIVER BIG 10	7,377	6,787	271
23	TWITTER- SADDLE RIVER BIG 10	7,377	6,787	219
29	BOX- SADDLE RIVER BIG 10	30,000	29,400	1,176
29	TWITTER- SADDLE RIVER BIG 10	30,000	29,400	948
33	SQUARE, INC.	27,174	25,000	1,250
36	TWITTER	102,485	99,410	3,428
37	BOX- SADDLE RIVER BIG 10	10,000	9,200	368
37	BOX- SERIES X	39,406	39,406	1,126
37	CHECK- SERIES X	27,399	27,399	9,963
37	FLURRY- SERIES X	51,239	51,239	7,320
37	SQUARE- SADDLE RIVER BIG 10	10,000	9,200	46
37	SQUARE- SERIES X	114,822	114,822	522
37	SQUARE, INC.	40,000	38,000	190
37	SQUARE, INC.	40,000	40,000	2,000
37	SQUARE, INC.	75,000	75,000	5,000
37	TWITTER- SADDLE RIVER BIG 10	10,000	9,200	297
41	SQUARE, INC.	200,000	184,000	920
42	PALANTIR TECHNOLOGIES INC.	N/A	N/A	N/A
42	PALANTIR TECHNOLOGIES INC.	N/A	N/A	N/A
43	BOX- SERIES X	7,881	7,881	225
43	CHECK- SERIES X	5,480	5,480	1,993
43	FLURRY- SERIES X	10,248	10,248	1,464
43	SQUARE- SERIES X	22,964	22,964	104
50	BOX- SADDLE RIVER BIG 10	2,500	2,450	98
50	PALANTIR- SADDLE RIVER BIG 10	2,500	2,450	613
50	TWITTER- SADDLE RIVER BIG 10	2,500	2,450	79
51	BOX- SERIES X	13,135	13,135	375
51	CHECK- SERIES X	9,133	9,133	3,321
51	CHECK, INC.	N/A	N/A	N/A
51	FLURRY- SERIES X	17,080	17,080	2,440
51	SQUARE- SERIES X	38,274	38,274	174
52	SQUARE, INC.	30,000	29,400	147
57	SQUARE, INC.	20,000	19,600	98
73	BOX- SERIES X	32,839	32,839	938
73	CHECK- SERIES X	22,833	22,833	8,303
75	SQUARE, INC.	10,204	10,204	618
77	SQUARE, INC.	15,625	15,000	1,000
81	BOX- SERIES X	6,568	6,568	188
81	CHECK- SERIES X	4,567	4,567	1,661
81	FLURRY- SERIES X	8,540	8,540	1,220
91	BOX- SERIES X	13,135	13,135	375
91	CHECK- SERIES X	9,133	9,133	3,321
91	FLURRY- SERIES X	17,080	17,080	2,440
91	SQUARE- SERIES X	38,274	38,274	174

## EXHIBIT 1

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
93	SQUARE, INC.	20,000	19,600	1,188
103	BOX- SERIES X	6,568	6,568	188
103	CHECK- SERIES X	4,567	4,567	1,661
103	FLURRY- SERIES X	8,540	8,540	1,220
107	BOX- SERIES X	13,135	13,135	375
107	CHECK- SERIES X	9,133	9,133	3,321
107	FLURRY- SERIES X	17,080	17,080	2,440
114	BOX- SERIES X	6,568	6,568	188
114	CHECK- SERIES X	4,567	4,567	1,661
114	FLURRY- SERIES X	8,540	8,540	1,220
116	BOX- SADDLE RIVER BIG 10	10,000	9,400	376
116	BOX- SERIES X	6,568	6,568	188
116	CHECK- SERIES X	4,567	4,567	1,661
116	FLURRY- SERIES X	8,540	8,540	1,220
116	TWITTER- SADDLE RIVER BIG 10	10,000	9,400	303
119	SQUARE, INC.	20,000	20,000	1,000
119	SQUARE, INC.	50,000	48,500	243
129	SQUARE, INC.	50,000	49,000	245
136	SQUARE, INC.	25,000	24,500	1,633
146	BOX- SERIES X	12,676	12,676	362
146	CHECK- SERIES X	8,813	8,813	3,205
146	FLURRY- SERIES X	16,482	16,482	2,355
146	SQUARE- SERIES X	36,935	36,935	168
146	SQUARE, INC.	200,000	200,000	10,000
157	BOX- SERIES X	6,568	6,568	188
157	CHECK- SERIES X	4,567	4,567	1,661
157	FLURRY- SERIES X	8,540	8,540	1,220
157	SQUARE- SERIES X	19,137	19,137	87
159	BOX- SERIES X	13,135	13,135	375
159	CHECK- SERIES X	9,133	9,133	3,321
159	FLURRY- SERIES X	17,080	17,080	2,440
159	SQUARE- SERIES X	38,274	38,274	174
162	SQUARE, INC.	250,000	245,000	1,400
170	BOX- SADDLE RIVER BIG 10	25,000	24,500	980
170	BOX- SERIES X	65,677	65,677	1,876
170	CHECK- SERIES X	45,665	45,665	16,606
170	FLURRY- SERIES X	85,398	85,398	12,200
170	SQUARE- SADDLE RIVER BIG 10	25,000	24,500	123
170	SQUARE- SERIES X	191,371	191,371	870
170	TWITTER- SADDLE RIVER BIG 10	25,000	24,500	790
172	SQUARE, INC.	30,000	27,600	138
175	SQUARE, INC.	25,000	24,500	123
176	SQUARE, INC.	50,000	50,000	2,500
180	SQUARE, INC.	30,000	29,400	147
183	SQUARE, INC.	20,409	20,001	100
203	BOX- SADDLE RIVER BIG 10	10,000	9,200	368
203	PALANTIR TECHNOLOGIES INC.	100,000	92,000	34,074
203	PALANTIR TECHNOLOGIES INC.	150,000	138,000	34,500
203	SQUARE- SADDLE RIVER BIG 10	10,000	9,200	46
203	SQUARE, INC.	100,000	92,000	460
203	TWITTER- SADDLE RIVER BIG 10	10,000	9,200	297

## EXHIBIT 1

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
206	SQUARE, INC.	50,000	46,000	230
209	BOX- SADDLE RIVER BIG 10	7,000	6,860	274
209	BOX- SERIES X	6,568	6,568	188
209	CHECK- SERIES X	4,567	4,567	1,661
209	FLURRY- SERIES X	8,540	8,540	1,220
209	SQUARE- SADDLE RIVER BIG 10	7,000	6,860	34
209	SQUARE- SERIES X	19,137	19,137	87
209	SQUARE, INC.	75,000	75,000	4,545
209	TWITTER- SADDLE RIVER BIG 10	7,000	6,860	221
221	BOX- SERIES X	32,839	32,839	938
221	CHECK- SERIES X	22,833	22,833	8,303
221	FLURRY- SERIES X	42,699	42,699	6,100
221	SQUARE- SERIES X	95,685	95,685	435
223	BOX- SERIES X	6,568	6,568	188
223	CHECK- SERIES X	4,567	4,567	1,661
223	FLURRY- SERIES X	8,540	8,540	1,220
223	SQUARE- SERIES X	19,137	19,137	87
223	SQUARE, INC.	20,000	19,600	98
224	BOX- SERIES X	3,284	3,284	94
224	CHECK- SERIES X	2,283	2,283	830
224	FLURRY- SERIES X	4,270	4,270	610
231	BOX- SADDLE RIVER BIG 10	10,000	9,800	392
231	BOX- SERIES X	13,135	13,135	375
231	BOX- SERIES X	6,568	6,568	188
231	BOX- SERIES X	6,568	6,568	188
231	CHECK- SERIES X	9,133	9,133	3,321
231	CHECK- SERIES X	4,567	4,567	1,661
231	CHECK- SERIES X	4,567	4,567	1,661
231	FLURRY- SERIES X	17,080	17,080	2,440
231	FLURRY- SERIES X	8,540	8,540	1,220
231	FLURRY- SERIES X	8,540	8,540	1,220
231	SQUARE- SADDLE RIVER BIG 10	10,000	9,800	49
231	SQUARE- SERIES X	38,274	38,274	174
231	SQUARE- SERIES X	19,137	19,137	87
231	SQUARE- SERIES X	19,137	19,137	87
231	TWITTER- SADDLE RIVER BIG 10	10,000	9,800	316
234	SQUARE, INC.	16,500	16,500	1,000
235	BOX- SADDLE RIVER BIG 10	15,000	14,700	588
235	BOX- SERIES X	9,852	9,852	281
235	CHECK- SERIES X	6,850	6,850	2,491
235	FLURRY- SERIES X	12,810	12,810	1,830
235	SQUARE- SADDLE RIVER BIG 10	15,000	14,700	74
235	SQUARE- SERIES X	28,706	28,706	130
235	SQUARE, INC.	100,000	100,000	8,333
235	TWITTER- SADDLE RIVER BIG 10	15,000	14,700	474
241	SQUARE, INC.	46,875	45,000	3,000
243	BOX- SADDLE RIVER BIG 10	10,500	10,290	412
243	BOX- SERIES X	6,568	6,568	188
243	CHECK- SERIES X	4,567	4,567	1,661
243	FLURRY- SERIES X	8,540	8,540	1,220
243	SQUARE- SADDLE RIVER BIG 10	10,500	10,290	51

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
243	SQUARE- SERIES X	19,137	19,137	87
243	TWITTER- SADDLE RIVER BIG 10	10,500	10,290	332
245	SQUARE, INC.	30,000	30,000	1,500
246	BOX- SADDLE RIVER BIG 10	10,000	9,800	392
246	SQUARE- SADDLE RIVER BIG 10	10,000	9,800	49
246	TWITTER- SADDLE RIVER BIG 10	10,000	9,800	316
247	PALANTIR TECHNOLOGIES INC.	1,000,000	1,000,000	317,460
247	PALANTIR TECHNOLOGIES INC.	1,500,000	1,462,500	541,667
251	SQUARE, INC.	25,000	23,000	115
252	SQUARE, INC.	18,180	16,726	84
256	JUMP TAP, INC.	N/A	N/A	N/A
264	CHEGG, INC	53,765	49,464	6,183
269	SQUARE, INC.	50,000	50,000	3,333
276	BOX- SERIES X	1,970	1,970	56
276	CHECK- SERIES X	1,370	1,370	498
276	FLURRY- SERIES X	2,562	2,562	366
277	SQUARE, INC.	50,000	50,000	250
277	SQUARE, INC.	150,000	138,000	690
279	SQUARE, INC.	40,000	39,200	196
280	GROUPON	N/A	N/A	
281	ADDEPAR INC.	102,734	102,734	313,397
283	SQUARE, INC.	56,645	56,645	3,433
283	SQUARE, INC.	60,000	58,200	291
285	SQUARE, INC.	40,000	36,800	184
294	SQUARE, INC.	25,000	23,000	115
299	SQUARE, INC.	12,000	12,000	800
304	ALIBABA	150,000	148,500	2,617
304	CHECK- SERIES X	11,416	11,416	4,151
304	CHECK, INC.	50,000	49,000	17,818
304	CHEGG, INC.	50,000	46,000	5,750
304	TWITTER	100,000	92,000	4,658
304	TWITTER	50,000	46,000	2,329
304	TWITTER	50,000	49,000	2,227
304	TWITTER	150,000	145,500	4,694
304	TWITTER	31,000	31,000	1,000
304	TWITTER- SADDLE RIVER BIG 10	20,000	19,600	632
308	BOX- SERIES X	6,568	6,568	188
308	CHECK- SERIES X	4,567	4,567	1,661
308	FLURRY- SERIES X	8,540	8,540	1,220
311	BOX- SERIES X	6,568	6,568	188
311	CHECK- SERIES X	4,567	4,567	1,661
312	BOX- SERIES X	32,839	32,839	938
312	CHECK- SERIES X	22,833	22,833	8,303
312	FLURRY- SERIES X	42,699	42,699	6,100
312	SQUARE- SERIES X	95,685	95,685	435
312	SQUARE, INC.	150,000	147,000	735
312	SQUARE, INC.	350,000	343,000	1,960
315	BOX- SERIES X	6,568	6,568	188
315	CHECK- SERIES X	4,567	4,567	1,661
315	FLURRY- SERIES X	8,540	8,540	1,220
321	SQUARE, INC.	21,600	19,872	99

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
323	BOX- SERIES X	13,135	13,135	375
323	CHECK- SERIES X	9,133	9,133	3,321
323	SQUARE- SERIES X	38,274	38,274	174
327	BOX- SERIES X	9,852	9,852	281
327	CHECK- SERIES X	6,850	6,850	2,491
327	FLURRY- SERIES X	12,810	12,810	1,830
327	SQUARE- SERIES X	28,706	28,706	130
327	SQUARE, INC.	112,500	112,500	7,500
328	SQUARE, INC.	25,000	24,500	123
331	SQUARE, INC.	10,500	10,500	700
334	SQUARE, INC.	15,000	15,000	1,250

# EXHIBIT 2

INVESTOR ID #	INTENDED FUND	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT
2	CANDI CONTROLS	CANDI CONTROLS	N/A	N/A
12	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	N/A
12	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	75,000	N/A
50	ENGAGECLICK	ENGAGECLICK	15,000	
51	BINARY	BINARY	100,000	
53	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	100,000	100,000
60	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	-
60	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	122,039	
69	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	40,000	40,000
69	MORPHEUS SECURITIES LLC	PALANTIR TECHNOLOGIES INC.		
72	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	100,000	100,000
99	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	N/A
99	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	20,000	N/A
109	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	138,723	N/A
109	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	75,000	N/A
120	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	-
120	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	N/A
122	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	-
122	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	N/A
127	BINARY	BINARY	95,000	N/A
127	BINARY	BINARY	105,000	N/A
137	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	N/A	N/A
139	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	25,000	25,000
146	BINARY	BINARY	150,000	-
156	FACIE LIBRE	FACEBOOK	N/A	N/A
157	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	15,000	N/A
157	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	-
169	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	75,000	75,000
197	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	100,000	N/A
197	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	175,000	-
197	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	100,000	-
198	BINARY	BINARY	50,000	N/A
204	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	N/A
232	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	23,868	N/A
232	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	41,000	-
239	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	-
259	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	31,050	N/A
259	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	25,000	-
264	CANDI CONTROLS	CANDI CONTROLS	50,000	
264	STREAMTV	STREAMTV	50,000	
271	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	N/A
273	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	200,000	-
277	FACIE LIBRE	FACEBOOK	N/A	N/A
284	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	N/A
288	KUMBUYA	KUMBUYA	N/A	N/A
304	CANDI CONTROLS	CANDI CONTROLS	100,000	100,000
304	ENGAGECLICK	ENGAGECLICK	20,000	20,000
304	ENGAGECLICK	ENGAGECLICK	10,000	10,000
304	ENGAGECLICK	ENGAGECLICK	100,000	100,000
304	ENGAGECLICK	ENGAGECLICK	50,000	50,000
304	KUMBUYA	KUMBUYA	60,000	60,000
304	ONSTREAM MEDIA	ONSTREAM MEDIA	20,000	20,000
304	REDWOOD	REDWOOD	50,000	50,000
304	REDWOOD	REDWOOD	50,000	50,000
304	REDWOOD	REDWOOD	100,000	100,000
304	REDWOOD	REDWOOD	100,000	100,000
304	UNKNOWN	US INTERVENTIONAL CORP		75,000
326	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	N/A
326	LIBER ARGENTUM ASSOCIATES, LLC	JUMIO, INC.	50,000	-
327	SADDLE RIVER PROFIT OPPORTUNITY LLC	7% DEBT INSTRUMENT (PALANTIR)	200,000	200,000

# EXHIBIT 3



INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES	ADJUSTED SHARES	CLASSIFICATION OF CLAIM
16	SQUARE, INC.	60,000	58,800	294	2,940	ORIGINAL
16	SQUARE, INC.					DUPLICATE CLAIM FILED
58	BLOOM ENERGY - SOLIS	25,000	23,750	168	112	ORIGINAL
58	BLOOM ENERGY - SOLIS					DUPLICATE CLAIM FILED
60	BLOOM ENERGY - SOLIS	100,000	95,000	672	448	ORIGINAL
60	BLOOM ENERGY - SOLIS					DUPLICATE CLAIM FILED
280	GROUPON	N/A	N/A			DISTRIBUTED / LIQUIDATED
280	GROUPON					DUPLICATE CLAIM FILED

# EXHIBIT 4

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
1	ALIPHCOM DBA JAWBONE	10,000	10,000	1,250
2	BADGEVILLE INC.	100,000	99,000	19,800
2	ODESK CORPORATION	100,000	99,000	14,667
2	PRACTICE FUSION	84,184	82,500	37,500
4	PRACTICE FUSION	15,000	15,000	6,818
5	ALIPHCOM DBA JAWBONE	150,000	150,000	18,750
5	PRACTICE FUSION	50,000	46,000	11,500
5	PRACTICE FUSION	50,000	49,000	16,333
8	PRACTICE FUSION	136,446	136,446	45,482
9	ALIPHCOM DBA JAWBONE- SERIES X	10,395	10,395	1,485
9	GLAM- SERIES X	32,957	32,957	5,992
9	ODESK- SERIES X	3,130	3,130	464
9	PRACTICE FUSION- SERIES X	41,843	41,843	19,020
9	VIRTUAL INSTRUMENTS- SERIES X	17,555	17,555	3,511
14	ALIPHCOM DBA JAWBONE	5,000	4,900	613
14	PRACTICE FUSION	5,000	4,900	1,225
21	ALIPHCOM DBA JAWBONE	40,000	39,200	6,533
21	BADGEVILLE INC.	40,000	39,200	7,840
22	JUMIO, INC.	10,500	10,500	3,000
23	BADGEVILLE- SADDLE RIVER BIG 10	7,377	6,787	679
23	GLAM- SADDLE RIVER BIG 10	7,377	6,787	1,293
23	ODESK- SADDLE RIVER BIG 10	7,377	6,787	1,086
26	JUMIO, INC.	10,000	9,200	1,600
29	BADGEVILLE- SADDLE RIVER BIG 10	30,000	29,400	2,940
29	GLAM- SADDLE RIVER BIG 10	30,000	29,400	5,600
29	ODESK- SADDLE RIVER BIG 10	30,000	29,400	4,704
37	ALIPHCOM DBA JAWBONE- SERIES X	24,949	24,949	3,564
37	BADGEVILLE INC.	20,000	18,400	1,840
37	BADGEVILLE INC.	20,000	18,400	3,680
37	BADGEVILLE INC.	10,000	10,000	2,500
37	BADGEVILLE- SADDLE RIVER BIG 10	10,000	9,200	920
37	CANDI CONTROLS	40,000	40,000	16,000
37	GLAM- SADDLE RIVER BIG 10	10,000	9,200	1,752
37	GLAM- SERIES X	79,096	79,096	14,381
37	JUMIO, INC.	50,000	46,000	6,133
37	ODESK- SADDLE RIVER BIG 10	10,000	9,200	1,472
37	ODESK- SERIES X	7,512	7,512	1,113
37	PRACTICE FUSION	25,000	24,063	10,938
37	PRACTICE FUSION	10,000	9,400	4,273
37	PRACTICE FUSION	20,000	20,000	8,000
37	PRACTICE FUSION	30,000	30,000	10,000
37	PRACTICE FUSION	80,000	77,000	35,000
37	PRACTICE FUSION	151,515	150,000	50,000
37	PRACTICE FUSION- SERIES X	100,424	100,424	45,647
37	VIRTUAL INSTRUMENTS CORP.	25,000	23,000	4,600
37	VIRTUAL INSTRUMENTS- SERIES X	42,132	42,132	8,426
41	BADGEVILLE INC.	40,000	40,000	10,000
41	JUMIO, INC.	81,250	74,750	13,000
43	ALIPHCOM DBA JAWBONE	100,000	92,000	11,500
43	ALIPHCOM DBA JAWBONE- SERIES X	4,990	4,990	713
43	CANDI CONTROLS	60,000	60,000	24,000

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
43	GLAM- SERIES X	15,819	15,819	2,876
43	ODESK- SERIES X	1,502	1,502	223
43	PRACTICE FUSION	115,000	115,000	20,000
43	PRACTICE FUSION	100,000	100,000	45,455
43	PRACTICE FUSION- SERIES X	20,085	20,085	9,129
43	VIRTUAL INSTRUMENTS- SERIES X	8,426	8,426	1,685
46	CANDI CONTROLS	1,100,000	1,012,000	1,212,862
46	JUMIO, INC.	884,474	813,716	108,495
46	JUMIO, INC.	150,000	150,000	20,000
46	JUMIO, INC.	250,000	250,000	33,333
46	JUMIO, INC.	402,500	402,500	70,000
46	PRACTICE FUSION	400,000	400,000	160,000
47	BADGEVILLE INC.	50,000	46,000	9,200
47	PRACTICE FUSION	50,000	50,000	22,727
50	BADGEVILLE- SADDLE RIVER BIG 10	2,500	2,450	686
50	GLAM- SADDLE RIVER BIG 10	2,500	2,450	467
50	JUMIO, INC.	15,000	13,800	1,840
50	ODESK- SADDLE RIVER BIG 10	2,500	2,450	1,472
51	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
51	BADGEVILLE INC.	200,000	200,000	80,000
51	CANDI CONTROLS	100,000	92,000	110,193
51	CANDI CONTROLS	100,000	92,000	110,193
51	GLAM- SERIES X	26,365	26,365	4,794
51	JUMIO, INC.	162,500	162,500	50,000
51	JUMIO, INC.	187,500	172,500	23,000
51	ODESK- SERIES X	2,504	2,504	371
51	PRACTICE FUSION	110,000	110,000	50,000
51	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
51	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809
54	PRACTICE FUSION	30,000	30,000	10,000
55	JUMIO, INC.	64,705	64,705	18,487
63	ALIPHCOM DBA JAWBONE	30,000	27,600	3,943
66	PRACTICE FUSION	99,980	91,982	22,995
67	PRACTICE FUSION	15,000	14,700	6,682
72	ALIPHCOM DBA JAWBONE	50,000	46,000	6,571
72	BADGEVILLE INC.	54,000	51,300	12,825
73	ALIPHCOM DBA JAWBONE- SERIES X	20,791	20,791	2,970
73	GLAM- SERIES X	65,913	65,913	11,984
73	JUMIO, INC.	300,000	300,000	40,000
73	ODESK- SERIES X	6,260	6,260	927
73	PRACTICE FUSION- SERIES X	83,686	83,686	38,039
73	VIRTUAL INSTRUMENTS- SERIES X	35,110	35,110	7,022
75	PRACTICE FUSION	10,204	10,204	3,401
77	CANDI CONTROLS	25,000	25,000	10,000
77	PRACTICE FUSION	15,366	15,059	5,020
79	ALIPHCOM DBA JAWBONE	15,000	13,800	1,971
80	CANDI CONTROLS	50,000	50,000	60,241
81	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
81	BADGEVILLE INC.	50,000	46,000	9,200
81	CANDI CONTROLS	25,000	25,000	10,000
81	GLAM- SERIES X	13,183	13,183	2,397

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
81	ODESK- SERIES X	1,252	1,252	185
81	PRACTICE FUSION	50,000	49,000	16,333
81	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
81	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
89	ALIPHCOM DBA JAWBONE	60,600	59,994	9,999
89	BADGEVILLE INC.	15,150	14,999	3,000
89	PRACTICE FUSION	22,220	21,998	9,999
91	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
91	BADGEVILLE INC.	50,000	49,000	9,800
91	GLAM- SERIES X	26,365	26,365	4,794
91	JUMIO, INC.	75,000	75,000	13,043
91	ODESK- SERIES X	2,504	2,504	371
91	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
91	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809
93	PRACTICE FUSION	17,000	15,640	7,109
99	JUMIO, INC.	20,000	18,900	2,520
103	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
103	GLAM- SERIES X	13,183	13,183	2,397
103	ODESK- SERIES X	1,252	1,252	185
103	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
103	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
105	ALIPHCOM DBA JAWBONE	24,500	22,540	3,220
105	BADGEVILLE INC.	25,000	23,000	4,600
105	CANDI CONTROLS	50,000	46,000	55,130
106	BADGEVILLE INC.	25,000	23,750	5,938
107	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
107	GLAM- SERIES X	26,365	26,365	4,794
107	ODESK- SERIES X	2,504	2,504	371
107	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
107	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809
110	BADGEVILLE INC.	100,000	92,000	25,698
112	ALIPHCOM DBA JAWBONE	80,000	73,600	9,200
114	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
114	GLAM- SERIES X	13,183	13,183	2,397
114	ODESK- SERIES X	1,252	1,252	185
114	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
114	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
116	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
116	BADGEVILLE- SADDLE RIVER BIG 10	10,000	9,400	940
116	GLAM- SADDLE RIVER BIG 10	10,000	9,400	1,790
116	GLAM- SERIES X	13,183	13,183	2,397
116	ODESK- SADDLE RIVER BIG 10	10,000	9,400	1,504
116	ODESK- SERIES X	1,252	1,252	185
116	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
116	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
119	ALIPHCOM DBA JAWBONE	33,500	32,495	4,642
119	BADGEVILLE INC.	50,000	50,000	10,000
124	PRACTICE FUSION	34,000	31,280	14,218
126	BADGEVILLE INC.	38,044	38,044	7,609
127	JUMIO, INC.	50,000	49,000	8,522
128	ALIPHCOM DBA JAWBONE	95,000	95,000	11,875

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
129	ALIPHCOM DBA JAWBONE	60,000	58,800	9,800
131	PRACTICE FUSION	33,000	33,000	15,000
138	ALIPHCOM DBA JAWBONE	14,000	14,000	2,000
144	ALIPHCOM DBA JAWBONE	38,000	34,960	4,994
144	BADGEVILLE INC.	20,000	19,000	4,750
146	ALIPHCOM DBA JAWBONE- SERIES X	8,025	8,025	1,146
146	CANDI CONTROLS	50,000	50,000	59,887
146	GLAM- SERIES X	25,443	25,443	4,626
146	JUMIO, INC.	69,988	69,988	9,332
146	JUMIO, INC.	100,000	92,000	12,267
146	JUMIO, INC.	200,000	184,000	24,533
146	ODESK- SERIES X	2,416	2,416	358
146	PRACTICE FUSION- SERIES X	32,303	32,303	14,683
146	VIRTUAL INSTRUMENTS- SERIES X	13,552	13,552	2,710
147	PRACTICE FUSION	50,000	50,000	22,727
152	JUMIO, INC.	122,283	112,500	15,000
157	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
157	GLAM- SERIES X	13,183	13,183	2,397
157	ODESK- SERIES X	1,252	1,252	185
157	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
157	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
159	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
159	BADGEVILLE INC.	50,000	47,750	19,306
159	GLAM- SERIES X	26,365	26,365	4,794
159	ODESK- SERIES X	2,504	2,504	371
159	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
159	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809
162	ALIPHCOM DBA JAWBONE	200,000	196,000	32,667
162	JUMIO, INC.	50,000	46,000	6,133
162	JUMIO, INC.	200,000	184,000	24,533
165	PRACTICE FUSION	15,000	15,000	5,000
167	JUMIO, INC.	6,250	5,750	1,000
167	JUMIO, INC.	29,646	27,275	3,637
169	JUMIO, INC.	25,000	23,000	3,067
169	PRACTICE FUSION	26,316	25,000	8,333
170	ALIPHCOM DBA JAWBONE- SERIES X	41,582	41,582	5,940
170	BADGEVILLE INC.	62,000	57,040	11,408
170	BADGEVILLE- SADDLE RIVER BIG 10	25,000	24,500	2,450
170	GLAM- SADDLE RIVER BIG 10	25,000	24,500	4,667
170	GLAM- SERIES X	131,827	131,827	23,968
170	ODESK- SADDLE RIVER BIG 10	25,000	24,500	3,920
170	ODESK- SERIES X	12,520	12,520	1,855
170	PRACTICE FUSION	168,367	165,000	75,000
170	PRACTICE FUSION- SERIES X	167,373	167,373	76,079
170	VIRTUAL INSTRUMENTS- SERIES X	70,219	70,219	14,044
174	CANDI CONTROLS	40,000	40,000	16,000
174	CANDI CONTROLS	45,000	45,000	18,000
176	PRACTICE FUSION	15,000	15,000	5,000
184	PRACTICE FUSION	10,652	9,800	2,450
184	PRACTICE FUSION	43,696	40,200	10,050
186	JUMIO, INC.	25,000	24,500	4,261

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
189	PRACTICE FUSION	18,000	18,000	6,000
189	PRACTICE FUSION	38,000	38,000	20,000
189	PRACTICE FUSION	45,000	45,000	15,000
189	PRACTICE FUSION	99,000	99,000	33,000
189	PRACTICE FUSION	300,000	300,000	100,000
192	JUMIO, INC.	25,000	23,000	4,000
194	ALIPHCOM DBA JAWBONE	25,000	23,000	3,286
195	PRACTICE FUSION	28,600	28,028	12,740
201	ALIPHCOM DBA JAWBONE	5,000	5,000	625
201	ALIPHCOM DBA JAWBONE	16,000	16,000	2,000
203	ALIPHCOM DBA JAWBONE	25,000	23,000	3,286
203	BADGEVILLE INC.	50,000	46,000	9,200
203	BADGEVILLE INC.	100,000	95,000	23,750
203	BADGEVILLE- SADDLE RIVER BIG 10	10,000	9,200	920
203	GLAM- SADDLE RIVER BIG 10	10,000	9,200	1,752
203	ODESK- SADDLE RIVER BIG 10	10,000	9,200	1,472
203	PRACTICE FUSION	50,000	50,000	22,727
206	BADGEVILLE INC.	25,000	23,750	5,938
209	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
209	BADGEVILLE- SADDLE RIVER BIG 10	7,000	6,860	686
209	GLAM- SADDLE RIVER BIG 10	7,000	6,860	1,307
209	GLAM- SERIES X	13,183	13,183	2,397
209	ODESK- SADDLE RIVER BIG 10	7,000	6,860	1,098
209	ODESK- SERIES X	1,252	1,252	185
209	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
209	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
212	ALIPHCOM DBA JAWBONE	10,000	10,000	1,429
212	JUMIO, INC.	25,000	23,000	3,067
213	ALIPHCOM DBA JAWBONE	10,000	10,000	1,429
218	ALIPHCOM DBA JAWBONE	35,000	35,000	5,000
218	BADGEVILLE INC.	50,000	49,000	4,900
218	CANDI CONTROLS	50,000	50,000	20,000
218	JUMIO, INC.	50,000	50,000	8,696
218	JUMIO, INC.	60,000	58,800	10,226
221	ALIPHCOM DBA JAWBONE- SERIES X	20,791	20,791	2,970
221	GLAM- SERIES X	65,913	65,913	11,984
221	ODESK- SERIES X	6,260	6,260	927
221	PRACTICE FUSION- SERIES X	83,686	83,686	38,039
221	VIRTUAL INSTRUMENTS- SERIES X	35,110	35,110	7,022
223	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
223	BADGEVILLE INC.	15,000	13,800	3,450
223	CANDI CONTROLS	25,000	25,000	10,000
223	GLAM- SERIES X	13,183	13,183	2,397
223	ODESK- SERIES X	1,252	1,252	185
223	PRACTICE FUSION	10,000	9,800	3,267
223	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
223	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
224	ALIPHCOM DBA JAWBONE- SERIES X	2,079	2,079	297
224	GLAM MEDIA, INC.	10,000	9,800	1,782
224	GLAM- SERIES X	6,591	6,591	1,198
224	ODESK- SERIES X	626	626	93

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
224	PRACTICE FUSION	10,000	9,800	4,455
224	PRACTICE FUSION- SERIES X	8,369	8,369	3,804
224	VIRTUAL INSTRUMENTS- SERIES X	3,511	3,511	702
225	JUMIO, INC.	50,000	48,000	6,133
226	BADGEVILLE INC.	25,000	23,750	4,750
227	BADGEVILLE INC.	25,000	23,750	4,750
231	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
231	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
231	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
231	BADGEVILLE- SADDLE RIVER BIG 10	10,000	9,800	980
231	CANDI CONTROLS	10,000	10,000	4,000
231	GLAM- SADDLE RIVER BIG 10	10,000	9,800	1,867
231	GLAM- SERIES X	26,365	26,365	4,794
231	GLAM- SERIES X	13,183	13,183	2,397
231	GLAM- SERIES X	13,183	13,183	2,397
231	ODESK- SADDLE RIVER BIG 10	10,000	9,800	1,568
231	ODESK- SERIES X	2,504	2,504	371
231	ODESK- SERIES X	1,252	1,252	185
231	ODESK- SERIES X	1,252	1,252	185
231	PRACTICE FUSION	10,000	9,800	4,455
231	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
231	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
231	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
231	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809
231	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
231	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
235	ALIPHCOM DBA JAWBONE	100,000	98,000	15,077
235	ALIPHCOM DBA JAWBONE- SERIES X	6,237	6,237	891
235	BADGEVILLE- SADDLE RIVER BIG 10	15,000	14,700	1,470
235	GLAM- SADDLE RIVER BIG 10	15,000	14,700	2,800
235	GLAM- SERIES X	19,774	19,774	3,595
235	JUMIO, INC.	50,000	46,000	8,000
235	ODESK- SADDLE RIVER BIG 10	15,000	14,700	2,352
235	ODESK- SERIES X	1,878	1,878	278
235	PRACTICE FUSION	50,000	49,000	22,273
235	PRACTICE FUSION- SERIES X	25,106	25,106	11,412
235	VIRTUAL INSTRUMENTS- SERIES X	10,533	10,533	2,107
237	BADGEVILLE INC.	20,000	19,000	4,750
238	BADGEVILLE INC.	38,053	38,053	7,611
238	PRACTICE FUSION	25,000	24,500	8,167
239	BADGEVILLE INC.	10,000	9,200	920
242	ALIPHCOM DBA JAWBONE	25,000	25,000	3,571
242	JUMIO, INC.	25,000	23,000	3,067
243	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
243	BADGEVILLE- SADDLE RIVER BIG 10	10,500	10,290	1,029
243	GLAM- SADDLE RIVER BIG 10	10,500	10,290	1,960
243	GLAM- SERIES X	13,183	13,183	2,397
243	ODESK- SADDLE RIVER BIG 10	10,500	10,290	1,646
243	ODESK- SERIES X	1,252	1,252	185
243	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
243	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404



INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
244	BADGEVILLE INC.	10,000	9,200	920
244	BADGEVILLE INC.	10,750	9,890	989
245	PRACTICE FUSION	26,000	24,960	11,345
246	BADGEVILLE- SADDLE RIVER BIG 10	10,000	9,800	980
246	GLAM- SADDLE RIVER BIG 10	10,000	9,800	1,867
246	ODESK- SADDLE RIVER BIG 10	10,000	9,800	1,568
251	PRACTICE FUSION	25,000	23,000	10,455
252	PRACTICE FUSION	25,000	23,000	10,455
261	BADGEVILLE INC.	50,000	47,500	9,500
261	BADGEVILLE INC.	100,000	92,000	18,400
261	CANDI CONTROLS	100,000	92,000	36,800
265	ALIPHCOM DBA JAWBONE	23,471	23,002	3,286
268	JUMIO, INC.	25,000	24,125	3,217
270	JUMIO, INC.	25,000	25,000	3,333
274	BADGEVILLE INC.	10,000	9,200	920
274	BADGEVILLE INC.	20,000	18,400	3,680
274	CANDI CONTROLS	20,000	20,000	8,000
274	JUMIO, INC.	15,000	13,800	1,840
274	JUMIO, INC.	30,000	27,600	3,680
274	PRACTICE FUSION	20,000	19,600	6,533
276	ALIPHCOM DBA JAWBONE- SERIES X	1,247	1,247	178
276	GLAM- SERIES X	3,955	3,955	719
276	ODESK- SERIES X	376	376	56
276	PRACTICE FUSION- SERIES X	5,021	5,021	2,282
276	VIRTUAL INSTRUMENTS- SERIES X	2,107	2,107	421
277	CANDI CONTROLS	50,000	50,000	20,000
283	BADGEVILLE INC.	67,000	64,990	16,248
283	PRACTICE FUSION	159,250	154,473	70,215
285	PRACTICE FUSION	48,700	44,804	20,365
288	JUMIO, INC.	10,000	10,000	1,739
292	BADGEVILLE INC.	30,000	27,600	5,520
292	BADGEVILLE INC.	65,000	61,750	15,438
294	ALIPHCOM DBA JAWBONE	45,000	41,400	5,914
298	ALIPHCOM DBA JAWBONE	50,000	50,000	6,250
299	JUMIO, INC.	21,875	20,125	3,500
299	PRACTICE FUSION	12,000	12,000	4,000
304	ALIPHCOM DBA JAWBONE	100,000	98,000	16,333
304	ALIPHCOM DBA JAWBONE- SERIES X	10,395	10,395	1,485
304	BADGEVILLE INC.	50,000	49,000	4,900
304	BADGEVILLE INC.	100,000	98,000	19,600
304	BADGEVILLE INC.	50,000	46,000	11,500
304	BADGEVILLE- SADDLE RIVER BIG 10	20,000	19,600	1,960
304	GLAM- SADDLE RIVER BIG 10	20,000	19,600	3,733
304	GLAM- SERIES X	32,957	32,957	5,992
304	JUMIO, INC.	50,000	46,000	6,133
304	JUMIO, INC.	10,000	9,200	1,600
304	ODESK CORPORATION	50,000	46,000	6,815
304	ODESK- SADDLE RIVER BIG 10	20,000	19,600	3,136
304	ODESK- SERIES X	3,130	3,130	464
304	PRACTICE FUSION	100,000	98,000	44,545
304	PRACTICE FUSION	112,782	112,782	45,113

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
304	PRACTICE FUSION- SERIES X	41,843	41,843	19,020
304	VIRTUAL INSTRUMENTS- SERIES X	17,555	17,555	3,511
308	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
308	GLAM- SERIES X	13,183	13,183	2,397
308	ODESK- SERIES X	1,252	1,252	185
308	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
308	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
311	ALIPHCOM DBA JAWBONE	15,218	14,001	2,000
311	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
311	BADGEVILLE INC.	49,956	49,956	9,991
311	CANDI CONTROLS	50,000	50,000	60,241
311	GLAM- SERIES X	13,183	13,183	2,397
311	ODESK- SERIES X	1,252	1,252	185
311	PRACTICE FUSION	50,000	46,000	20,909
311	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
311	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
312	ALIPHCOM DBA JAWBONE	200,000	196,000	28,000
312	ALIPHCOM DBA JAWBONE	300,000	294,000	49,000
312	ALIPHCOM DBA JAWBONE- SERIES X	20,791	20,791	2,970
312	BADGEVILLE INC.	150,000	147,000	29,400
312	GLAM MEDIA, INC.	35,000	34,300	6,236
312	GLAM MEDIA, INC.	165,000	161,700	29,400
312	GLAM- SERIES X	65,913	65,913	11,984
312	JUMIO, INC.	250,000	250,000	33,333
312	JUMIO, INC.	250,000	250,000	83,333
312	ODESK- SERIES X	6,260	6,260	927
312	PRACTICE FUSION	150,000	147,000	66,818
312	PRACTICE FUSION- SERIES X	83,686	83,686	38,039
312	VIRTUAL INSTRUMENTS- SERIES X	35,110	35,110	7,022
315	ALIPHCOM DBA JAWBONE- SERIES X	4,158	4,158	594
315	BADGEVILLE INC.	50,000	49,000	9,800
315	CANDI CONTROLS	29,984	29,984	11,993
315	GLAM- SERIES X	13,183	13,183	2,397
315	ODESK- SERIES X	1,252	1,252	185
315	PRACTICE FUSION	74,977	73,477	33,399
315	PRACTICE FUSION- SERIES X	16,737	16,737	7,608
315	VIRTUAL INSTRUMENTS- SERIES X	7,022	7,022	1,404
316	ALIPHCOM DBA JAWBONE	50,000	47,000	6,714
317	BADGEVILLE INC.	50,000	46,000	9,200
319	JUMIO, INC.	100,000	96,500	12,867
320	BADGEVILLE INC.	50,000	48,500	4,850
321	ALIPHCOM DBA JAWBONE	38,000	34,960	4,994
321	BADGEVILLE INC.	30,000	27,600	5,520
323	ALIPHCOM DBA JAWBONE- SERIES X	8,316	8,316	1,188
323	CANDI CONTROLS	30,000	30,000	36,145
323	GLAM- SERIES X	26,365	26,365	4,794
323	JUMIO, INC.	50,000	46,000	8,000
323	ODESK- SERIES X	2,504	2,504	371
323	PRACTICE FUSION	30,000	29,400	9,800
323	PRACTICE FUSION- SERIES X	33,475	33,475	15,216
323	VIRTUAL INSTRUMENTS- SERIES X	14,044	14,044	2,809

INVESTOR ID #	INTENDED INVESTMENT	GROSS INVESTMENT	NET INVESTMENT	SHARES
327	ALIPHCOM DBA JAWBONE- SERIES X	6,237	6,237	891
327	CANDI CONTROLS	225,000	225,000	90,000
327	GLAM- SERIES X	19,774	19,774	3,595
327	ODESK- SERIES X	1,878	1,878	278
327	PRACTICE FUSION	150,527	143,001	65,000
327	PRACTICE FUSION- SERIES X	25,106	25,106	11,412
327	VIRTUAL INSTRUMENTS- SERIES X	10,533	10,533	2,107
334	ALIPHCOM DBA JAWBONE	16,000	16,000	2,000
334	PRACTICE FUSION	10,500	10,290	3,430