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7 *Successor Receiver*

8 **UNITED STATES DISTRICT COURT**
9 **NORTHERN DISTRICT OF CALIFORNIA**
10 **SAN FRANCISCO DIVISION**

11 SECURITIES AND EXCHANGE
12 COMMISSION,

13 Plaintiff,

14 v.

15 JOHN V. BIVONA; SADDLE RIVER
16 ADVISORS, LLC; SRA
17 MANAGEMENT ASSOCIATES,
18 LLC; FRANK GREGORY
19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III
22 LLC; FELIX INVESTMENTS, LLC;
23 MICHELE J. MAZZOLA; ANNE
24 BIVONA; CLEAR SAILING GROUP
25 IV LLC; CLEAR SAILING GROUP V
26 LLC,

27 Relief Defendants.
28

Case No. 3:16-cv-01386-EMC

PROOF OF SERVICE

Judge: Edward M. Chen

1 I hereby declare under penalty of perjury pursuant to the laws of the State of California that
2 I am a citizen of the United States, over the age of 18 years, and not a party to the within action. My
3 business address is 150 California Street, Suite 2200, San Francisco, CA 94111 and my email
4 address is *Erika.shannon@diamondmccarthy.com* On the date set forth below, I served the
5 following.

- 6 • **RECEIVER’S NOTICE OF MOTION AND MOTION TO DISALLOW**
- 7 **PURPORTED GUARANTEE CLAIMS; MEMORANDUM OF POINTS AND**
- 8 **AUTHORITIES**
- 9 • **DECLARATION OF KATHY BAZOIAN PHELPS IN SUPPORT OF RECEIVER’S**
- 10 **MOTION TO DISALLOW PURPORTED GUARANTEE CLAIMS**
- 11 • **(PROPOSED) ORDER GRANTING RECEIVER’S MOTION TO DISALLOW**
- 12 **PURPORTED GUARANTEE CLAIMS**

13 X (BY U.S. MAIL) on **March 6, 2019**, I caused such envelope(s) with postage thereon fully
14 prepaid to be placed in the U.S. mail at San Francisco, California to the address(es) shown below
set forth below on this date.

15 X (BY ELECTRONIC MAIL) on **March 6, 2019**, I caused such documents to be delivered
and transmitted by electronic mail to the e-mail address shown below set forth below on this date

16 Michael J. Bennett, Esq.
17 Lichtsinn & Haensel, s.c.
18 111 E. Wisconsin Avenue, #1800
Milwaukee, WI 53202
Mbennett@lhlawfirm.com
19 Counsel for Investor O’Leary

Invester Hsu
Address and Email Confidential

20 X **TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF):**
21 On **March 6, 2020**, Pursuant to L.R. 5-3.2.1, the document listed above will be served by the
22 court via NEF and hyperlink to the document which effects electronic service on counsel who
23 are registered with the CM/ECF system.

24 I declare that I am employed in the office of a member of the bar of this court at whose
25 direction the service was made.

26 Executed **March 6 2019**, at San Francisco, California.

27 /s/ Erika Shannon
28 Erika Shannon