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7 *Successor Receiver*

8 **UNITED STATES DISTRICT COURT**  
9 **NORTHERN DISTRICT OF CALIFORNIA**  
10 **SAN FRANCISCO DIVISION**

11 SECURITIES AND EXCHANGE  
12 COMMISSION,

13 Plaintiff,

14 v.

15 JOHN V. BIVONA; SADDLE RIVER  
16 ADVISORS, LLC; SRA  
17 MANAGEMENT ASSOCIATES,  
18 LLC; FRANK GREGORY  
19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III  
22 LLC; FELIX INVESTMENTS, LLC;  
23 MICHELE J. MAZZOLA; ANNE  
24 BIVONA; CLEAR SAILING GROUP  
25 IV LLC; CLEAR SAILING GROUP V  
26 LLC,

27 Relief Defendants.

Case No. 3:16-cv-01386-EMC

**DECLARATION OF KATHY BAZOIAN  
PHELPS IN SUPPORT OF  
ADMINISTRATIVE MOTION BY  
RECEIVER KATHY BAZOIAN PHELPS  
PURSUANT TO LOCAL CIVIL RULE 7-11  
FOR ORDER APPROVING SALE AND  
DISTRIBUTION OF PUBLICLY TRADED  
SECURITIES PURSUANT TO  
DISTRIBUTION PLAN**

Date: No Hearing Set  
Time: No Hearing Set  
Judge: Edward M. Chen

28 I, Kathy Bazoian Phelps, declare:

1. Pursuant to this Court’s Revised Order Appointing Receiver, entered February 28, 2019, I was appointed as the successor receiver (“Receiver”) in this case. I am also an attorney duly licensed to practice in the State of California and am senior counsel at the firm of Diamond McCarthy LLP (“Diamond McCarthy”). I have personal knowledge of the matters set forth

1 below and if called as a witness, I would and could testify competently to the matters stated  
2 herein.

3 2. This declaration is made in support of the Motion by Receiver Kathy Bazoian  
4 Phelps Pursuant to Local Civil Rule 7-11 for Order Approving Sale and Distribution of Publicly  
5 Traded Securities Pursuant to Distribution Plan (the “Motion”).

6 3. I have consulted with counsel for the Securities and Exchange Commission, who  
7 does not oppose the Motion. I also provided copies of the Motion to the members of the Investor  
8 Advisory Committee, and counsel for Progresso Ventures LLC, who do not oppose the Motion.

9 4. Attached as Exhibits “1” to “8” hereto are distribution schedules (the “Schedules”)  
10 that I have prepared with the assistance of my tax advisors, which reflect the interests of the  
11 investors holding securities in each of the following publicly traded securities: Bloom Energy,  
12 Inc.; Cloudera, Inc.; DropBox, Inc.; Lyft, Inc., Inc.; MongoDB, Inc.; Pinterest, Inc.; Snap, Inc.,  
13 Inc., and Uber, Inc. The Schedules reflect the Investor Claimants by I.D. Number and estimated  
14 the number of shares that will need to be liquidated to pay into the Plan Fund, the Tax Holding  
15 Account and the commissions. The taxes have been estimated based on the now current value of  
16 the securities that will be sold and the portion of the securities that will be distributed at this time.  
17 The actual figures may vary based on price fluctuations at the exact time of sale and distribution.  
18 To the extent that the actual sales price for the securities at the time of sale and distribution differs  
19 from the price set forth in the Schedules, I will adjust the number of shares required to be sold to  
20 fund the Tax Holding Account accordingly.

21 5. The Schedules contain the percentage of the securities that I propose to retain as an  
22 Administrative Stock Reserve. The amounts vary by security, depending on a number of factors  
23 that I have evaluated to try to ensure that the estate retains sufficient assets to pay future  
24 administrative costs or any unanticipated tax liability. The actual percentage of shares that I  
25 propose to sell varies by issuer based on the amount required for the Tax Holding Account,  
26 whether there was a publicly traded price for such security at the time the basis was valued, the  
27 tax liability or tax loss position for each security, the total dollar amount at issue for each security,  
28 and my conservative judgment to ensure that sufficient funds remain in the Receivership Estate to

1 cover any potential future unanticipated liability. For those shares where there was no publicly  
 2 traded price at the time the basis was valued, I have relied on the valuation of the securities as  
 3 assessed by my valuation professional, Oxis Capital. For those securities, and where there is tax  
 4 liability on a gain in the value, I propose to distribute a lower percentage, and retain a higher  
 5 reserve, to account for any possible future disputes relating to the valuation.

6 6. The publicly traded securities, the number of shares claimed by investors, owned  
 7 by the estate, the 30% gross investment amounts, and the Tax Holding Account amounts,  
 8 assuming the Administrative Stock Reserves set forth in the schedules, are summarized as  
 9 follows:

| 10 Company         | 11 Securities<br>Owned by<br>Estate | Shares<br>Claimed by<br>Investors | Gross<br>Investment<br>Amount | 30% of Gross<br>Investment<br>Amount | Tax Holding<br>Account<br>Amount | Percentage<br>of Shares to<br>Sell |
|--------------------|-------------------------------------|-----------------------------------|-------------------------------|--------------------------------------|----------------------------------|------------------------------------|
| Bloom Energy Inc.  | 147,429                             | 139,583                           | \$ 3,724,498                  | \$1,117,350                          | \$1,886                          | 90%                                |
| 12 Cloudera, Inc.  | 37,639                              | 37,639                            | \$ 637,245                    | \$ 191,174                           | \$0                              | 90%                                |
| Dropbox, Inc.      | 46,000                              | 46,001                            | \$ 1,783,457                  | \$ 535,038                           | \$0                              | 50%                                |
| 13 Lyft, Inc.      | 9,479                               | 9,479                             | \$ 250,756                    | \$ 75,227                            | \$0                              | 85%                                |
| MongoDB Inc.       | 20,000 <sup>1</sup>                 | 22,171                            | \$ 1,002,322                  | \$ 300,697                           | \$1,289,737                      | 50%                                |
| 14 Pinterest, Inc. | 23,206                              | 23,206                            | \$ 550,470                    | \$ 165,141                           | \$19,840                         | 80%                                |
| Snap, Inc.         | 31,172                              | 31,173                            | \$ 549,821                    | \$ 164,946                           | \$0                              | 60%                                |
| 15 Uber Inc.       | 500                                 | 500                               | \$ 19,388                     | \$ 5,816                             | \$0                              | 90%                                |

16 7. The sales price per share set forth in the Schedules reflect the closing price of the  
 17 shares as of July 13, 2020. I intend to attempt to sell the securities at or above 90% of those  
 18 closing prices.<sup>2</sup>

19 8. The Receivership Estate has surplus shares of one of the issuers, Bloom Energy, in  
 20 the amount of 7,846 shares (147,429 owned by the estate, less 139,583 claimed by investors). I  
 21 intend to sell those surplus shares to provide additional funds for the Receivership Estate as  
 22 provided for in the Plan.

23 9. The Motion does not seek to make a cash distribution at this time as I first seek to  
 24 liquidate and distribute a portion of the securities and to determine the amount of tax liability. I  
 25

26 <sup>1</sup> The estate is owed another 6,250 shares from Ben Sabrin, and the Receiver has commenced  
 litigation seeking turnover of those shares.

27 <sup>2</sup> To the extent that the price actually received for the securities differs from the price set forth in  
 28 the Schedules, the Receiver intends to adjust the number of shares sold of such securities  
 accordingly, to satisfy the applicable tax obligations.

1 intend to confer with my tax advisor on when an interim cash distribution will be appropriate and,  
2 if so in what amount. I contemplate that I would file a separate motion for authority to make an  
3 interim cash distribution at a later date.

4 10. The securities are currently held in the receivership estate's brokerage account at  
5 Wells Fargo Bank. I have negotiated the commission structure for the sale of securities which  
6 started at .05% of the value of each trade and was reduced to 0.025% of the value of the trade. I  
7 have continued to negotiate the rate and believe that I may obtain a flat fee per trade at a  
8 substantially reduced cost. Although I believe that such a commission structure is within the  
9 range of reasonableness, I have continued to evaluate whether another financial institution is  
10 willing and able to handle a fiduciary brokerage account at a lower commission that will justify  
11 the transfer of the securities. If so, I request authority to move the securities prior to sale and  
12 distribution if a meaningfully lower commission structure can be obtained.

13 11. I will serve notice of the Motion and the Motion on all investors holding an interest  
14 in the publicly traded securities by email and will also post the papers on the receivership website  
15 at [www.diamondmccarthy.com/saddleriverreceiver](http://www.diamondmccarthy.com/saddleriverreceiver).

16 I declare under penalty of perjury that the foregoing is true and correct. Executed on this  
17 13th day of July 2020 at Los Angeles, California.

18 /s/ Kathy Bazoian Phelps

19 Kathy Bazoian Phelps

20 *Successor Receiver*

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# **EXHIBIT 1**

**BLOOM ENERGY**

## Bloom Energy Distribution Schedule

| Proposed Distribution Percentage: 90% |                  |                |                |                    |                      |       |
|---------------------------------------|------------------|----------------|----------------|--------------------|----------------------|-------|
| Allowed Shares After                  |                  |                |                |                    |                      |       |
| 42.57% Tax Reserve,                   |                  |                |                |                    |                      |       |
| 30% Plan Fund and                     |                  |                |                |                    |                      |       |
| Shares to Distribute                  |                  |                |                |                    |                      |       |
| (remainder to 10%)                    |                  |                |                |                    |                      |       |
| Investor                              | Gross Investment | 30% Gross Inv. | Allowed Shares | Broker Commissions | Admin Stock Reserve) |       |
| ID No.                                |                  |                |                |                    |                      |       |
| 8                                     | \$249,998        | \$74,999       | 8,130          | 2,179              |                      | 1,961 |
| 9                                     | \$4,803          | \$1,441        | 146            | 39                 |                      | 35    |
| 21                                    | \$61,500         | \$18,450       | 2,000          | 536                |                      | 482   |
| 23                                    | \$7,377          | \$2,213        | 221            | 59                 |                      | 53    |
| 29                                    | \$30,000         | \$9,000        | 956            | 256                |                      | 231   |
| 37                                    | \$50,000         | \$15,000       | 1,508          | 404                |                      | 364   |
| 37                                    | \$23,370         | \$7,011        | 667            | 179                |                      | 161   |
| 37                                    | \$28,571         | \$8,571        | 1,867          | 500                |                      | 450   |
| 37                                    | \$10,000         | \$3,000        | 299            | 80                 |                      | 72    |
| 37                                    | \$11,527         | \$3,458        | 349            | 94                 |                      | 84    |
| 39                                    | \$102,500        | \$30,750       | 3,333          | 893                |                      | 804   |
| 43                                    | \$2,305          | \$692          | 70             | 19                 |                      | 17    |
| 45                                    | \$100,000        | \$30,000       | 3,111          | 834                |                      | 750   |
| 45                                    | \$100,000        | \$30,000       | 3,252          | 871                |                      | 784   |
| 47                                    | \$50,000         | \$15,000       | 1,460          | 391                |                      | 352   |
| 49                                    | \$20,500         | \$6,150        | 667            | 179                |                      | 161   |
| 50                                    | \$2,500          | \$750          | 299            | 80                 |                      | 72    |
| 51                                    | \$3,842          | \$1,153        | 116            | 31                 |                      | 28    |
| 62                                    | \$15,000         | \$4,500        | 456            | 122                |                      | 110   |
| 68                                    | \$40,000         | \$12,000       | 1,301          | 349                |                      | 314   |
| 72                                    | \$50,000         | \$15,000       | 1,460          | 391                |                      | 352   |
| 73                                    | \$9,606          | \$2,882        | 291            | 78                 |                      | 70    |
| 79                                    | \$33,000         | \$9,900        | 964            | 258                |                      | 232   |
| 80                                    | \$50,000         | \$15,000       | 1,496          | 401                |                      | 361   |
| 81                                    | \$50,000         | \$15,000       | 1,556          | 417                |                      | 375   |
| 81                                    | \$1,921          | \$576          | 58             | 16                 |                      | 14    |
| 86                                    | \$10,000         | \$3,000        | 513            | 137                |                      | 124   |
| 86                                    | \$25,000         | \$7,500        | 813            | 218                |                      | 196   |
| 86                                    | \$175,000        | \$52,500       | 5,691          | 1,525              |                      | 1,372 |
| 89                                    | \$43,430         | \$13,029       | 1,333          | 357                |                      | 321   |
| 91                                    | \$153,750        | \$46,125       | 5,000          | 1,340              |                      | 1,206 |
| 91                                    | \$3,842          | \$1,153        | 116            | 31                 |                      | 28    |
| 103                                   | \$1,921          | \$576          | 58             | 16                 |                      | 14    |
| 107                                   | \$3,842          | \$1,153        | 116            | 31                 |                      | 28    |
| 114                                   | \$1,921          | \$576          | 58             | 16                 |                      | 14    |
| 116                                   | \$10,000         | \$3,000        | 306            | 82                 |                      | 74    |
| 116                                   | \$1,921          | \$576          | 58             | 16                 |                      | 14    |
| 136                                   | \$20,250         | \$6,075        | 608            | 163                |                      | 147   |
| 137                                   | \$38,688         | \$11,606       | 1,157          | 310                |                      | 279   |
| 146                                   | \$3,708          | \$1,112        | 112            | 30                 |                      | 27    |
| 157                                   | \$1,921          | \$576          | 58             | 16                 |                      | 14    |
| 159                                   | \$3,842          | \$1,153        | 116            | 31                 |                      | 28    |
| 170                                   | \$200,000        | \$60,000       | 5,984          | 1,603              |                      | 1,443 |
| 170                                   | \$25,000         | \$7,500        | 797            | 214                |                      | 192   |
| 170                                   | \$19,212         | \$5,764        | 582            | 156                |                      | 140   |
| 189                                   | \$26,000         | \$7,800        | 1,333          | 357                |                      | 321   |
| 196                                   | \$20,000         | \$6,000        | 598            | 160                |                      | 144   |
| 200                                   | \$5,570          | \$1,671        | 167            | 45                 |                      | 40    |
| 203                                   | \$10,000         | \$3,000        | 299            | 80                 |                      | 72    |
| 209                                   | \$50,000         | \$15,000       | 1,496          | 401                |                      | 361   |
| 209                                   | \$7,000          | \$2,100        | 223            | 60                 |                      | 54    |
| 209                                   | \$1,921          | \$576          | 58             | 16                 |                      | 14    |

|               |                    |                    |                |               |               |
|---------------|--------------------|--------------------|----------------|---------------|---------------|
| 212           | \$60,000           | \$18,000           | 1,840          | 493           | 444           |
| 212           | \$15,000           | \$4,500            | 449            | 120           | 108           |
| 218           | \$100,000          | \$30,000           | 3,252          | 871           | 784           |
| 221           | \$9,606            | \$2,882            | 291            | 78            | 70            |
| 223           | \$1,921            | \$576              | 58             | 16            | 14            |
| 224           | \$961              | \$288              | 29             | 8             | 7             |
| 231           | \$10,000           | \$3,000            | 319            | 85            | 77            |
| 231           | \$3,842            | \$1,153            | 116            | 31            | 28            |
| 231           | \$1,921            | \$576              | 58             | 16            | 14            |
| 231           | \$1,921            | \$576              | 58             | 16            | 14            |
| 235           | \$15,000           | \$4,500            | 478            | 128           | 115           |
| 235           | \$2,882            | \$865              | 87             | 23            | 21            |
| 238           | \$50,000           | \$15,000           | 1,460          | 391           | 352           |
| 243           | \$10,500           | \$3,150            | 335            | 90            | 81            |
| 243           | \$1,921            | \$576              | 58             | 16            | 14            |
| 246           | \$30,000           | \$9,000            | 898            | 241           | 217           |
| 246           | \$50,000           | \$15,000           | 1,496          | 401           | 361           |
| 246           | \$10,000           | \$3,000            | 319            | 85            | 77            |
| 274           | \$31,798           | \$9,539            | 937            | 251           | 226           |
| 274           | \$22,632           | \$6,790            | 667            | 179           | 161           |
| 276           | \$30,000           | \$9,000            | 976            | 262           | 235           |
| 276           | \$50,000           | \$15,000           | 1,626          | 436           | 392           |
| 276           | \$100,000          | \$30,000           | 3,252          | 871           | 784           |
| 276           | \$576              | \$173              | 17             | 5             | 4             |
| 276           | \$10,000           | \$3,000            | 667            | 179           | 161           |
| 276           | \$20,000           | \$6,000            | 1,026          | 275           | 247           |
| 286           | \$335,617          | \$100,685          | 17,092         | 4,580         | 4,122         |
| 289           | \$31,000           | \$9,300            | 905            | 243           | 218           |
| 304           | \$15,000           | \$4,500            | 449            | 120           | 108           |
| 304           | \$35,000           | \$10,500           | 1,047          | 281           | 253           |
| 304           | \$50,000           | \$15,000           | 1,593          | 427           | 384           |
| 304           | \$50,000           | \$15,000           | 1,593          | 427           | 384           |
| 304           | \$20,000           | \$6,000            | 637            | 171           | 154           |
| 304           | \$4,803            | \$1,441            | 146            | 39            | 35            |
| 308           | \$1,921            | \$576              | 58             | 16            | 14            |
| 311           | \$1,921            | \$576              | 58             | 16            | 14            |
| 312           | \$100,000          | \$30,000           | 3,111          | 834           | 750           |
| 312           | \$9,606            | \$2,882            | 291            | 78            | 70            |
| 314           | \$30,750           | \$9,225            | 920            | 247           | 222           |
| 315           | \$1,921            | \$576              | 58             | 16            | 14            |
| 319           | \$100,000          | \$30,000           | 3,067          | 822           | 740           |
| 323           | \$3,842            | \$1,153            | 116            | 31            | 28            |
| 325           | \$42,269           | \$12,681           | 1,333          | 357           | 321           |
| 327           | \$2,882            | \$865              | 87             | 23            | 21            |
| <b>Totals</b> | <b>\$3,449,072</b> | <b>\$1,034,722</b> | <b>117,013</b> | <b>31,355</b> | <b>28,220</b> |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |               |
|---|---------------|
| 30% of Gross Investment   | \$1,034,722   |
| Shares to sell to create 30% Plan Fund                            | 85,444        |
| Tax Basis per share   | \$30.00       |
| Sales Price per share   | \$12.11       |
| Gain/(loss) per share   | (\$17.89)     |
| Total gain/(loss) for shares to be sold                           | (\$1,532,416) |
| 42.57% Tax on total gain on the shares to be sold                 | \$0           |
| Broker Commission Rate  | 0.25%         |
| Total Commissions on the shares to be sold                        | \$2,593       |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 214           |

*Bloom had a 3:2 reverse stock split on July 19, 2018. The shares were also converted 1:1 from Class B stock to Class A stock in May 2019.*

**Tax Rate**

|                     |        |
|---------------------|--------|
| Federal             | 37.00% |
| State Tax           | 8.84%  |
| State Tax Deduction | -3.27% |
|                     | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |               |
|---|---------------|
| Sales Price per share (at the time of distribution)                     | \$12.11       |
| Gain/(loss) per share   | (\$17.89)     |
| Total gain/(loss) for shares to be distributed                          | (\$504,851)   |
| 42.57% Tax on total gain on the shares to be distributed                | \$0           |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0           |
| <br>  |               |
| Total shares owned  | 88,317        |
| <br>  |               |
| Subtotal for shares to be sold (in number of shares to be sold)         | 85,658        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0             |
| <b>Total number of shares to sell</b>                                   | <b>85,658</b> |
| <br>  |               |
| Amount of shares short - to be covered by Bloom Energy Solis            | 0             |
| Proceeds needed to cover shortage                                       | \$0           |

(to be combined with Bloom Energy Solis shares)



## Calculation of Tax and Commission on Shares to be Sold

| Proceeds           | Shares        | Gain/(Loss)          | Tax        | Comm           |
|--------------------|---------------|----------------------|------------|----------------|
| \$1,034,722        | 85,444        | (\$1,528,585)        | \$0.00     | \$2,586.80     |
| \$2,587            | 214           | (\$3,821)            | \$0.00     | \$6.47         |
| \$6                | 1             | (\$10)               | \$0.00     | \$0.02         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| \$0                | 0             | (\$0)                | \$0.00     | \$0.00         |
| <b>\$1,037,315</b> | <b>85,658</b> | <b>(\$1,532,416)</b> | <b>\$0</b> | <b>\$2,593</b> |

## Calculation of Tax and Commission on Shares to be Distributed

| Proceeds   | Shares        | Gain/(Loss)        | Tax        | Comm       |
|------------|---------------|--------------------|------------|------------|
| \$0        | 28,220        | (\$504,851)        | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| <b>\$0</b> | <b>28,220</b> | <b>(\$504,851)</b> | <b>\$0</b> | <b>\$0</b> |

## Bloom Energy - Solis Distribution Schedule

| Proposed Distribution Percentage: 90% |                  |                 |                |  |   |               |
|---------------------------------------|------------------|-----------------|----------------|--|---|---------------|
| Investor<br>ID No.                    | Gross Investment | 30% Gross Inv.  | Allowed Shares | Allowed Shares After   |   |               |
|                                       |                  |                 |                | 42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 10%<br>Admin Stock Reserve) |               |
| 13                                    | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 13                                    | \$1,367          | \$410           | 112            | 78   |   | 70            |
| 15                                    | \$1,641          | \$492           | 134            | 93   |   | 84            |
| 17                                    | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 17                                    | \$16,406         | \$4,922         | 1,344          | 934  |   | 840           |
| 20                                    | \$4,101          | \$1,230         | 336            | 233  |   | 210           |
| 26                                    | \$1,641          | \$492           | 134            | 93   |   | 84            |
| 34                                    | \$1,367          | \$410           | 112            | 78   |   | 70            |
| 36                                    | \$1,367          | \$410           | 112            | 78   |   | 70            |
| 56                                    | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 58                                    | \$1,367          | \$410           | 112            | 78   |   | 70            |
| 60                                    | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 67                                    | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 88                                    | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 89                                    | \$547            | \$164           | 45             | 31   |   | 28            |
| 103                                   | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 118                                   | \$5,195          | \$1,559         | 426            | 296  |   | 266           |
| 137                                   | \$8,713          | \$2,614         | 714            | 496  |   | 446           |
| 139                                   | \$1,094          | \$328           | 90             | 63   |   | 56            |
| 146                                   | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 157                                   | \$2,187          | \$656           | 179            | 124  |   | 112           |
| 159                                   | \$10,937         | \$3,281         | 896            | 622  |   | 560           |
| 162                                   | \$27,343         | \$8,203         | 2,240          | 1,556  |   | 1,400         |
| 178                                   | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 187                                   | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| 197                                   | \$13,671         | \$4,101         | 1,120          | 778  |   | 700           |
| 197                                   | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 197                                   | \$10,937         | \$3,281         | 896            | 622  |   | 560           |
| 219                                   | \$27,343         | \$8,203         | 2,240          | 1,556  |   | 1,400         |
| 220                                   | \$5,742          | \$1,723         | 470            | 326  |   | 294           |
| 226                                   | \$1,641          | \$492           | 134            | 93   |   | 84            |
| 235                                   | \$5,775          | \$1,733         | 473            | 329  |   | 296           |
| 239                                   | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 259                                   | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 264                                   | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 274                                   | \$2,461          | \$738           | 202            | 140  |   | 126           |
| 278                                   | \$547            | \$164           | 45             | 31   |   | 28            |
| 281                                   | \$3,281          | \$984           | 269            | 187  |   | 168           |
| 305                                   | \$1,094          | \$328           | 89             | 62   |   | 56            |
| 310                                   | \$9,297          | \$2,789         | 762            | 529  |   | 476           |
| 311                                   | \$2,734          | \$820           | 224            | 156  |   | 140           |
| 311                                   | \$8,561          | \$2,568         | 701            | 487  |   | 438           |
| 312                                   | \$27,343         | \$8,203         | 2,240          | 1,556  |   | 1,400         |
| 320                                   | \$4,101          | \$1,230         | 336            | 233  |   | 210           |
| 320                                   | \$5,469          | \$1,641         | 448            | 311  |   | 280           |
| <b>Totals</b>                         | <b>\$275,426</b> | <b>\$82,628</b> | <b>22,563</b>  | <b>15,672</b>  |   | <b>14,105</b> |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |          |                     |                 |
|---|----------|---------------------|-----------------|
| 30% of Gross Investment   | \$82,628 |                     |                 |
| Shares to sell to create 30% Plan Fund                            | 6,823    |                     |                 |
| Tax Basis per share   | \$11.90  | Received 06/27/19   |                 |
| Sales Price per share   | \$12.11  |                     |                 |
| Gain/(loss) per share   | \$0.21   |                     | <b>Tax Rate</b> |
| Total gain/(loss) for shares to be sold                           | \$1,447  | Federal             | 37%             |
| 42.57% Tax on total gain on the shares to be sold                 | \$616    | State Tax           | 8.84%           |
| Broker Commission Rate  | 0.25%    | State Tax Deduction | -3.27%          |
| Total Commissions on the shares to be sold                        | \$209    |                     | 42.57%          |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 68       |                     |                 |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |         |
|---|---------|
| Sales Price per share (at the time of distribution)                     | \$12.11 |
| Gain/(loss) per share   | \$0.21  |
| Total gain/(loss) for shares to be distributed                          | \$2,984 |
| 42.57% Tax on total gain on the shares to be distributed                | \$1,270 |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$3     |

**Shares to Sell to Cover Shortage under Bloom Energy**

|  |         |
|--|---------|
| Sales price per share                                    | \$12.11 |
| Gain/(loss) per share                                    | \$0.21  |
| Total gain/(loss) for shares to cover shortage           | \$0     |
| 42.57% Tax on total gain on the shares to cover shortage | \$0     |
| Total Commissions on the shares sold to cover shortage   | \$0     |

|   |              |
|---|--------------|
| Total shares owned  | 59,111       |
| Subtotal for shares to be sold (in number of shares to be sold)         | 6,891        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 105          |
| Subtotal for shares to be sold to cover shortage of Bloom Energy shares | 0            |
| <b>Total number of shares to sell</b>                                   | <b>6,996</b> |

**Calculation of Tax and Commission on Shares to be Sold**

| <b>Proceeds</b> | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>   | <b>Comm</b>  |
|-----------------|---------------|--------------------|--------------|--------------|
| \$82,628        | 6,823         | \$1,433            | \$609.95     | \$206.57     |
| \$817           | 67            | \$14               | \$6.03       | \$2.04       |
| \$8             | 1             | \$0                | \$0.06       | \$0.02       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| \$0             | 0             | \$0                | \$0.00       | \$0.00       |
| <b>\$83,452</b> | <b>6,891</b>  | <b>\$1,447</b>     | <b>\$616</b> | <b>\$209</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| <b>Proceeds</b> | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>     | <b>Comm</b> |
|-----------------|---------------|--------------------|----------------|-------------|
| \$0             | 14,105        | \$2,962            | \$1,260.89     | \$0.00      |
| \$1,261         | 104           | \$22               | \$9.31         | \$3.15      |
| \$12            | 1             | \$0                | \$0.09         | \$0.03      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| \$0             | 0             | \$0                | \$0.00         | \$0.00      |
| <b>\$1,273</b>  | <b>14,210</b> | <b>\$2,984</b>     | <b>\$1,270</b> | <b>\$3</b>  |

**Calculation of Tax and Commission on Shares to be Distributed**

| <b>Proceeds</b> | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b> | <b>Comm</b> |
|-----------------|---------------|--------------------|------------|-------------|
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| <b>\$0</b>      | <b>0</b>      | <b>\$0</b>         | <b>\$0</b> | <b>\$0</b>  |

# **EXHIBIT 2**

CLOUDERA

## Cloudera Distribution Schedule

|                                       |
|---------------------------------------|
| Proposed Distribution Percentage: 90% |
|---------------------------------------|

| Investor      |                  |                  |                | Allowed Shares After<br>42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 10%<br>Admin Stock<br>Reserve) |
|---------------|------------------|------------------|----------------|--|--|
| ID No.        | Gross Investment | 30% Gross Inv.   | Allowed Shares |  |  |
| 147           | \$35,000         | \$10,500         | 988            | 545  | 490  |
| 159           | \$48,990         | \$14,697         | 1,633          | 900  | 810  |
| 201           | \$15,750         | \$4,725          | 450            | 248  | 223  |
| 217           | \$266,722        | \$80,017         | 25,872         | 14,265   | 12,839   |
| 231           | \$10,000         | \$3,000          | 288            | 159  | 143  |
| 257           | \$6,000          | \$1,800          | 176            | 97   | 87   |
| 263           | \$10,000         | \$3,000          | 294            | 162  | 146  |
| 268           | \$50,000         | \$15,000         | 1,667          | 919  | 827  |
| 311           | \$34,783         | \$10,435         | 1,000          | 551  | 496  |
| 312           | \$150,000        | \$45,000         | 5,000          | 2,757  | 2,481  |
| 318           | \$10,000         | \$3,000          | 271            | 149  | 134  |
| <b>Totals</b> | <b>\$637,245</b> | <b>\$191,174</b> | <b>37,639</b>  | <b>20,753</b>  | <b>18,678</b>  |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |                |                     |        |
|---|----------------|---------------------|--------|
| 30% of Gross Investment   | \$191,174      |                     |        |
| Shares to sell to create 30% Plan Fund                            | 16,843         |                     |        |
| Tax Basis per share   | <b>\$18.00</b> |                     |        |
| Sales Price per share   | <b>\$11.35</b> |                     |        |
| Gain/(loss) per share   | (\$6.65)       |                     |        |
| Total gain/(loss) for shares to be sold                           | (\$112,290)    |                     |        |
| 42.57% Tax on total gain on the shares to be sold                 | \$0            | Federal             | 37%    |
| Broker Commission Rate  | 0.25%          | State Tax           | 8.84%  |
| Total Commissions on the shares to be sold                        | \$479          | State Tax Deduction | -3.27% |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 42             |                     | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |                |
|---|----------------|
| Sales Price per share (at the time of distribution)                     | <b>\$11.35</b> |
| Gain/(loss) per share   | (\$6.65)       |
| Total gain/(loss) for shares to be distributed                          | (\$124,209)    |
| 42.57% Tax on total gain on the shares to be distributed                | \$0            |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0            |
| <br>  |                |
| Total shares owned  | 37,639         |
| <br>  |                |
| Subtotal for shares to be sold (in number of shares to be sold)         | 16,886         |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0              |
| <b>Total number of shares to sell</b>                                   | <b>16,886</b>  |

## Calculation of Tax and Commission on Shares to be Sold

| Proceeds         | Shares        | Gain/(Loss)        | Tax        | Comm         |
|------------------|---------------|--------------------|------------|--------------|
| \$191,174        | 16,843        | (\$112,009)        | \$0.00     | \$477.93     |
| \$478            | 42            | (\$280)            | \$0.00     | \$1.19       |
| \$1              | 0             | (\$1)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| <b>\$191,653</b> | <b>16,886</b> | <b>(\$112,290)</b> | <b>\$0</b> | <b>\$479</b> |

## Calculation of Tax and Commission on Shares to be Distributed

| Proceeds   | Shares        | Gain/(Loss)        | Tax        | Comm       |
|------------|---------------|--------------------|------------|------------|
| \$0        | 18,678        | (\$124,209)        | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| \$0        | 0             | \$0                | \$0.00     | \$0.00     |
| <b>\$0</b> | <b>18,678</b> | <b>(\$124,209)</b> | <b>\$0</b> | <b>\$0</b> |



# **EXHIBIT 3**

DROPBOX

## Dropbox Distribution Schedule

| Proposed Distribution Percentage: 50%    |                  |                |                |                    |                      |       |
|--|------------------|----------------|----------------|--------------------|----------------------|-------|
| Allowed Shares After                     |                  |                |                |                    |                      |       |
| 42.57% Tax Reserve, Shares to Distribute |                  |                |                |                    |                      |       |
| 30% Plan Fund and (remainder to 50%      |                  |                |                |                    |                      |       |
| Investor                                 |                  |                |                |                    |                      |       |
| ID No.                                   | Gross Investment | 30% Gross Inv. | Allowed Shares | Broker Commissions | Admin Stock Reserve) |       |
| 3  | \$366,400        | \$109,920      | 9,771          | 4,147              |                      | 2,074 |
| 3  | \$33,680         | \$10,104       | 2,245          | 953                |                      | 476   |
| 4  | \$10,000         | \$3,000        | 180            | 76                 |                      | 38    |
| 9  | \$25,504         | \$7,651        | 486            | 206                |                      | 103   |
| 23                                       | \$7,377          | \$2,213        | 323            | 137                |                      | 69    |
| 29                                       | \$30,000         | \$9,000        | 1,400          | 594                |                      | 297   |
| 37                                       | \$10,000         | \$3,000        | 438            | 186                |                      | 93    |
| 37                                       | \$61,210         | \$18,363       | 1,166          | 495                |                      | 247   |
| 43                                       | \$12,242         | \$3,673        | 233            | 99                 |                      | 49    |
| 50                                       | \$2,500          | \$750          | 117            | 50                 |                      | 25    |
| 51                                       | \$20,403         | \$6,121        | 389            | 165                |                      | 83    |
| 73                                       | \$51,008         | \$15,302       | 972            | 413                |                      | 206   |
| 79                                       | \$15,000         | \$4,500        | 1,000          | 424                |                      | 212   |
| 81                                       | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 91                                       | \$20,403         | \$6,121        | 389            | 165                |                      | 83    |
| 103                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 107                                      | \$20,403         | \$6,121        | 389            | 165                |                      | 83    |
| 111                                      | \$35,000         | \$10,500       | 613            | 260                |                      | 130   |
| 114                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 116                                      | \$10,000         | \$3,000        | 448            | 190                |                      | 95    |
| 116                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 119                                      | \$50,000         | \$15,000       | 1,347          | 572                |                      | 286   |
| 146                                      | \$19,689         | \$5,907        | 375            | 159                |                      | 80    |
| 157                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 159                                      | \$20,403         | \$6,121        | 389            | 165                |                      | 83    |
| 170                                      | \$25,000         | \$7,500        | 1,167          | 495                |                      | 248   |
| 170                                      | \$102,017        | \$30,605       | 1,943          | 825                |                      | 412   |
| 194                                      | \$25,000         | \$7,500        | 451            | 191                |                      | 96    |
| 203                                      | \$10,000         | \$3,000        | 438            | 186                |                      | 93    |
| 209                                      | \$7,000          | \$2,100        | 327            | 139                |                      | 69    |
| 209                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 221                                      | \$51,008         | \$15,302       | 972            | 413                |                      | 206   |
| 223                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 224                                      | \$5,101          | \$1,530        | 97             | 41                 |                      | 21    |
| 231                                      | \$10,000         | \$3,000        | 467            | 198                |                      | 99    |
| 231                                      | \$20,403         | \$6,121        | 389            | 165                |                      | 83    |
| 231                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 231                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 235                                      | \$15,000         | \$4,500        | 700            | 297                |                      | 149   |
| 235                                      | \$15,303         | \$4,591        | 291            | 124                |                      | 62    |
| 243                                      | \$10,500         | \$3,150        | 490            | 208                |                      | 104   |
| 243                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 246                                      | \$10,000         | \$3,000        | 467            | 198                |                      | 99    |
| 252                                      | \$25,000         | \$7,500        | 451            | 191                |                      | 96    |
| 258                                      | \$25,000         | \$7,500        | 667            | 283                |                      | 142   |
| 260                                      | \$33,000         | \$9,900        | 595            | 253                |                      | 126   |
| 276                                      | \$3,061          | \$918          | 58             | 25                 |                      | 12    |
| 285                                      | \$40,000         | \$12,000       | 722            | 306                |                      | 153   |
| 297                                      | \$15,000         | \$4,500        | 1,000          | 424                |                      | 212   |
| 304                                      | \$20,000         | \$6,000        | 933            | 396                |                      | 198   |
| 304                                      | \$25,504         | \$7,651        | 486            | 206                |                      | 103   |
| 304                                      | \$100,000        | \$30,000       | 1,961          | 832                |                      | 416   |
| 308                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |
| 311                                      | \$10,202         | \$3,061        | 194            | 82                 |                      | 41    |

|               |                    |                  |               |               |              |
|---------------|--------------------|------------------|---------------|---------------|--------------|
| 312           | \$51,008           | \$15,302         | 972           | 413           | 206          |
| 312           | \$150,000          | \$45,000         | 4,083         | 1,733         | 867          |
| 315           | \$10,202           | \$3,061          | 194           | 82            | 41           |
| 323           | \$20,403           | \$6,121          | 389           | 165           | 83           |
| 327           | \$15,303           | \$4,591          | 291           | 124           | 62           |
| <b>Totals</b> | <b>\$1,783,459</b> | <b>\$535,038</b> | <b>45,999</b> | <b>19,524</b> | <b>9,762</b> |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |            |
|---|------------|
| 30% of Gross Investment   | \$535,038  |
| Shares to sell to create 30% Plan Fund                            | 26,409     |
| Tax Basis per share   | 23.25      |
| Sales Price per share   | 20.26      |
| Gain/(loss) per share   | (\$2.99)   |
| Total gain/(loss) for shares to be sold                           | (\$79,160) |
| 42.57% Tax on total gain on the shares to be sold                 | \$0        |
| Broker Commission Rate  | 0.25%      |
| Total Commissions on the shares to be sold                        | \$1,341    |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 66         |

*Dropbox had a 3:2 reverse stock split on March 7, 2018*

| Tax Rate            |        |
|---------------------|--------|
| Federal             | 37%    |
| State Tax           | 8.84%  |
| State Tax Deduction | -3.27% |
|                     | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |               |
|---|---------------|
| Sales Price per share (at the time of distribution)                     | 20.26         |
| Gain/(loss) per share   | (\$2.99)      |
| Total gain/(loss) for shares to be distributed                          | (\$29,189)    |
| 42.57% Tax on total gain on the shares to be distributed                | \$0           |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0           |
| <br>  |               |
| Total shares owned  | 46,000        |
| <br>  |               |
| Subtotal for shares to be sold (in number of shares to be sold)         | 26,475        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0             |
| <b>Total number of shares to sell</b>                                   | <b>26,475</b> |

**Calculation of Tax and Commission on Shares to be Sold**

| Proceeds         | Shares        | Gain/(Loss)       | Tax        | Comm           |
|------------------|---------------|-------------------|------------|----------------|
| \$535,038        | 26,409        | (\$78,962)        | \$0.00     | \$1,337.59     |
| \$1,338          | 66            | (\$197)           | \$0.00     | \$3.34         |
| \$3              | 0             | (\$0)             | \$0.00     | \$0.01         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| \$0              | 0             | (\$0)             | \$0.00     | \$0.00         |
| <b>\$536,379</b> | <b>26,475</b> | <b>(\$79,160)</b> | <b>\$0</b> | <b>\$1,341</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| Proceeds   | Shares       | Gain/(Loss)       | Tax        | Comm       |
|------------|--------------|-------------------|------------|------------|
| \$0        | 9,762        | (\$29,189)        | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| \$0        | 0            | \$0               | \$0.00     | \$0.00     |
| <b>\$0</b> | <b>9,762</b> | <b>(\$29,189)</b> | <b>\$0</b> | <b>\$0</b> |

# **EXHIBIT 4**

LYFT

## Lyft Distribution Schedule

| Proposed Distribution Percentage: 85% |                         |                       |                       |  |  |              |
|---------------------------------------|-------------------------|-----------------------|-----------------------|--|--|--------------|
| <b>Allowed Shares After</b>           |                         |                       |                       |  |  |              |
|                                       |                         |                       |                       | <b>42.57% Tax Reserve,<br/>30% Plan Fund and<br/>Shares to Distribute<br/>(remainder to 15%<br/>Admin Stock Reserve)</b> |  |              |
| <b>Investor</b>                       | <b>Gross Investment</b> | <b>30% Gross Inv.</b> | <b>Allowed Shares</b> | <b>Broker Commissions</b>  |  |              |
| <b>ID No.</b>                         |                         |                       |                       |  |  |              |
| 8                                     | \$65,654                | \$19,696              | 2,525                 | 1,828  |  | 1,554        |
| 37                                    | \$53,061                | \$15,918              | 2,000                 | 1,448  |  | 1,231        |
| 37                                    | \$53,061                | \$15,918              | 2,000                 | 1,448  |  | 1,231        |
| 94                                    | \$53,061                | \$15,918              | 2,000                 | 1,448  |  | 1,231        |
| 223                                   | \$10,000                | \$3,000               | 354                   | 256  |  | 218          |
| 331                                   | \$15,918                | \$4,775               | 600                   | 434  |  | 369          |
| <b>Totals</b>                         | <b>\$250,755</b>        | <b>\$75,227</b>       | <b>9,479</b>          | <b>6,861</b>   |  | <b>5,832</b> |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |            |                          |        |
|---|------------|--------------------------|--------|
| 30% of Gross Investment   | \$75,227   |                          |        |
| Shares to sell to create 30% Plan Fund                            | 2,611      |                          |        |
| Tax Basis per share   | \$47.57    | <i>Received 01/21/20</i> |        |
| Sales Price per share   | \$28.81    |                          |        |
| Gain/(loss) per share   | (\$18.76)  |                          |        |
| Total gain/(loss) for shares to be sold                           | (\$49,094) |                          |        |
| 42.57% Tax on total gain on the shares to be sold                 | \$0        | Federal                  | 37%    |
| Broker Commission Rate  | 0.25%      | State Tax                | 8.84%  |
| Total Commissions on the shares to be sold                        | \$189      | State Tax Deduction      | -3.27% |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 7          |                          | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |              |
|---|--------------|
| Sales Price per share (at the time of distribution)                     | \$28.81      |
| Gain/(loss) per share   | (\$18.76)    |
| Total gain/(loss) for shares to be distributed                          | (\$109,382)  |
| 42.57% Tax on total gain on the shares to be distributed                | \$0          |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0          |
| <br>  |              |
| Total shares owned  | 9,479        |
| <br>  |              |
| Subtotal for shares to be sold (in number of shares to be sold)         | 2,618        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0            |
| <b>Total number of shares to sell</b>                                   | <b>2,618</b> |



## Calculation of Tax and Commission on Shares to be Sold

| Proceeds        | Shares       | Gain/(Loss)       | Tax        | Comm         |
|-----------------|--------------|-------------------|------------|--------------|
| \$75,227        | 2,611        | (\$48,972)        | \$0.00     | \$188.07     |
| \$188           | 7            | (\$122)           | \$0.00     | \$0.47       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| \$0             | 0            | (\$0)             | \$0.00     | \$0.00       |
| <b>\$75,415</b> | <b>2,618</b> | <b>(\$49,094)</b> | <b>\$0</b> | <b>\$189</b> |

## Calculation of Tax and Commission on Shares to be Distributed

| Proceeds   | Shares       | Gain/(Loss)        | Tax        | Comm       |
|------------|--------------|--------------------|------------|------------|
| \$0        | 5,832        | (\$109,382)        | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| \$0        | 0            | \$0                | \$0.00     | \$0.00     |
| <b>\$0</b> | <b>5,832</b> | <b>(\$109,382)</b> | <b>\$0</b> | <b>\$0</b> |

# **EXHIBIT 5**

MONGO DB

## MongoDB Distribution Schedule

Proposed Distribution Percentage: 50%

| Investor<br>ID No. | Gross Investment   | 30% Gross Inv.   | Allowed Shares | Shares Owned by |   | Allowed Shares After   |   |
|--------------------|--------------------|------------------|----------------|-----------------|---|--|---|
|                    |                    |                  |                | Estate          | 30% Plan Fund and<br>Broker Commissions | 42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 50%<br>Admin Stock Reserve) |
| 9                  | \$1,067            | \$320            | 24             |                 | 22                                      | 19   | 10  |
| 35                 | \$23,000           | \$6,900          | 500            |                 | 451                                     | 398  | 199   |
| 37                 | \$2,561            | \$768            | 58             |                 | 52                                      | 46   | 23  |
| 43                 | \$512              | \$154            | 12             |                 | 11                                      | 10   | 5   |
| 51                 | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 56                 | \$25,000           | \$7,500          | 557            |                 | 502                                     | 444  | 222   |
| 73                 | \$2,134            | \$640            | 49             |                 | 44                                      | 39   | 20  |
| 81                 | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 91                 | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 103                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 107                | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 114                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 115                | \$50,000           | \$15,000         | 1,045          |                 | 943                                     | 832  | 416   |
| 116                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 130                | \$23,000           | \$6,900          | 500            |                 | 451                                     | 398  | 199   |
| 146                | \$824              | \$247            | 19             |                 | 17                                      | 15   | 8   |
| 147                | \$40,000           | \$12,000         | 873            |                 | 787                                     | 695  | 348   |
| 157                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 159                | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 170                | \$4,269            | \$1,281          | 97             |                 | 87                                      | 77   | 39  |
| 177                | \$115,000          | \$34,500         | 2,500          |                 | 2,255                                   | 1,992  | 996   |
| 177                | \$299,000          | \$89,700         | 6,500          |                 | 5,862                                   | 5,178  | 2,589   |
| 195                | \$25,000           | \$7,500          | 544            |                 | 491                                     | 433  | 217   |
| 209                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 221                | \$2,134            | \$640            | 49             |                 | 44                                      | 39   | 20  |
| 223                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 224                | \$213              | \$64             | 5              |                 | 5                                       | 4  | 2   |
| 231                | \$10,000           | \$3,000          | 209            |                 | 189                                     | 166  | 83  |
| 231                | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 231                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 231                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 235                | \$640              | \$192            | 15             |                 | 14                                      | 12   | 6   |
| 243                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 276                | \$128              | \$38             | 3              |                 | 3                                       | 2  | 1   |
| 303                | \$78,413           | \$23,524         | 1,693          |                 | 1,527                                   | 1,349  | 674   |
| 304                | \$1,067            | \$320            | 24             |                 | 22                                      | 19   | 10  |
| 308                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 311                | \$23,913           | \$7,174          | 500            |                 | 451                                     | 398  | 199   |
| 311                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 312                | \$100,000          | \$30,000         | 2,500          |                 | 2,255                                   | 1,992  | 996   |
| 312                | \$150,000          | \$45,000         | 3,341          |                 | 3,013                                   | 2,662  | 1,331   |
| 312                | \$2,134            | \$640            | 49             |                 | 44                                      | 39   | 20  |
| 315                | \$427              | \$128            | 10             |                 | 9                                       | 8  | 4   |
| 323                | \$854              | \$256            | 19             |                 | 17                                      | 15   | 8   |
| 327                | \$640              | \$192            | 15             |                 | 14                                      | 12   | 6   |
| 331                | \$11,000           | \$3,300          | 250            |                 | 225                                     | 199  | 100   |
| <b>Totals</b>      | <b>\$1,002,324</b> | <b>\$300,697</b> | <b>22,175</b>  |                 | <b>20,000</b>                           | <b>17,665</b>  | <b>8,833</b>  |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |           |
|---|-----------|
| 30% of Gross Investment   | \$300,697 |
| Shares to sell to create 30% Plan Fund                            | 1,450     |
| Tax Basis per share   | \$24.00   |
| Sales Price per share   | \$207.39  |
| Gain/(loss) per share   | \$183.39  |
| Total gain/(loss) for shares to be sold                           | \$428,130 |
| 42.57% Tax on total gain on the shares to be sold                 | \$182,252 |
| Broker Commission Rate  | 0.25%     |
| Total Commissions on the shares to be sold                        | \$1,210   |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 885       |

**MongoDB had a 2:1 reverse stock split on October 5, 2017**

| <b>Tax Rate</b>     |        |
|---------------------|--------|
| Federal             | 37%    |
| State Tax           | 8.84%  |
| State Tax Deduction | -3.27% |
|                     | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |              |
|---|--------------|
| Sales Price per share (at the time of distribution)                     | \$207.39     |
| Gain/(loss) per share   | \$183.39     |
| Total gain/(loss) for shares to be distributed                          | \$2,601,611  |
| 42.57% Tax on total gain on the shares to be distributed                | \$1,107,485  |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$2,776      |
| <br>  |              |
| Total shares owned  | 20,000       |
| <br>  |              |
| Subtotal for shares to be sold (in number of shares to be sold)         | 2,335        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 5,353        |
| <b>Total number of shares to sell</b>                                   | <b>7,688</b> |

**Calculation of Tax and Commission on Shares to be Sold**

| <b>Proceeds</b>  | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>       | <b>Comm</b>    |
|------------------|---------------|--------------------|------------------|----------------|
| \$300,697        | 1,450         | \$265,899          | \$113,191.21     | \$751.74       |
| \$113,943        | 549           | \$100,757          | \$42,891.46      | \$284.86       |
| \$43,176         | 208           | \$38,180           | \$16,252.83      | \$107.94       |
| \$16,361         | 79            | \$14,467           | \$6,158.67       | \$40.90        |
| \$6,200          | 30            | \$5,482            | \$2,333.70       | \$15.50        |
| \$2,349          | 11            | \$2,077            | \$884.31         | \$5.87         |
| \$890            | 4             | \$787              | \$335.09         | \$2.23         |
| \$337            | 2             | \$298              | \$126.98         | \$0.84         |
| \$128            | 1             | \$113              | \$48.11          | \$0.32         |
| \$48             | 0             | \$43               | \$18.23          | \$0.12         |
| \$18             | 0             | \$16               | \$6.91           | \$0.05         |
| \$7              | 0             | \$6                | \$2.62           | \$0.02         |
| \$3              | 0             | \$2                | \$0.99           | \$0.01         |
| \$1              | 0             | \$1                | \$0.38           | \$0.00         |
| \$0              | 0             | \$0                | \$0.14           | \$0.00         |
| <b>\$484,159</b> | <b>2,335</b>  | <b>\$428,130</b>   | <b>\$182,252</b> | <b>\$1,210</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| <b>Proceeds</b>    | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>         | <b>Comm</b>    |
|--------------------|---------------|--------------------|--------------------|----------------|
| \$0                | 8,833         | \$1,619,835        | \$689,550.70       | \$0.00         |
| \$689,551          | 3,325         | \$609,753          | \$259,567.03       | \$1,723.88     |
| \$261,291          | 1,260         | \$231,053          | \$98,357.53        | \$653.23       |
| \$99,011           | 477           | \$87,553           | \$37,270.54        | \$247.53       |
| \$37,518           | 181           | \$33,176           | \$14,122.90        | \$93.80        |
| \$14,217           | 69            | \$12,571           | \$5,351.58         | \$35.54        |
| \$5,387            | 26            | \$4,764            | \$2,027.87         | \$13.47        |
| \$2,041            | 10            | \$1,805            | \$768.42           | \$5.10         |
| \$774              | 4             | \$684              | \$291.18           | \$1.93         |
| \$293              | 1             | \$259              | \$110.34           | \$0.73         |
| \$111              | 1             | \$98               | \$41.81            | \$0.28         |
| \$42               | 0             | \$37               | \$15.84            | \$0.11         |
| \$16               | 0             | \$14               | \$6.00             | \$0.04         |
| \$6                | 0             | \$5                | \$2.27             | \$0.02         |
| \$2                | 0             | \$2                | \$0.86             | \$0.01         |
| <b>\$1,110,260</b> | <b>14,186</b> | <b>\$2,601,611</b> | <b>\$1,107,485</b> | <b>\$2,776</b> |

# **EXHIBIT 6**

PINTEREST

## Pinterest Distribution Schedule

Proposed Distribution Percentage: 80%

| Investor      |                  |                  |                | Allowed Shares After<br>42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 20%<br>Admin Stock<br>Reserve) |
|---------------|------------------|------------------|----------------|--|--|
| ID No.        | Gross Investment | 30% Gross Inv.   | Allowed Shares |  |  |
| 5             | \$50,000         | \$15,000         | 1,984          | 1,389  | 1,111  |
| 37            | \$23,470         | \$7,041          | 1,022          | 716  | 573  |
| 146           | \$100,000        | \$30,000         | 3,968          | 2,779  | 2,223  |
| 189           | \$15,000         | \$4,500          | 667            | 467  | 374  |
| 223           | \$10,000         | \$3,000          | 365            | 256  | 204  |
| 231           | \$40,000         | \$12,000         | 1,766          | 1,237  | 989  |
| 242           | \$25,000         | \$7,500          | 972            | 681  | 545  |
| 268           | \$77,000         | \$23,100         | 3,056          | 2,140  | 1,712  |
| 299           | \$10,000         | \$3,000          | 397            | 278  | 222  |
| 312           | \$200,000        | \$60,000         | 9,009          | 6,308  | 5,047  |
| <b>Totals</b> | <b>\$550,470</b> | <b>\$165,141</b> | <b>23,206</b>  | <b>16,250</b>  | <b>13,000</b>  |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |           |                          |                 |
|---|-----------|--------------------------|-----------------|
| 30% of Gross Investment   | \$165,141 |                          |                 |
| Shares to sell to create 30% Plan Fund                            | 6,667     |                          |                 |
| Tax Basis per share   | \$22.50   | <i>Received 01/21/20</i> |                 |
| Sales Price per share   | \$24.77   |                          |                 |
| Gain/(loss) per share   | \$2.28    |                          | <b>Tax Rate</b> |
| Total gain/(loss) for shares to be sold                           | \$15,826  | Federal                  | 37%             |
| 42.57% Tax on total gain on the shares to be sold                 | \$6,737   | State Tax                | 8.84%           |
| Broker Commission Rate  | 0.25%     | State Tax Deduction      | -3.27%          |
| Total Commissions on the shares to be sold                        | \$431     |                          | 42.57%          |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 289       |                          |                 |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |              |
|---|--------------|
| Sales Price per share (at the time of distribution)                     | \$24.77      |
| Gain/(loss) per share   | \$2.28       |
| Total gain/(loss) for shares to be distributed                          | \$30,781     |
| 42.57% Tax on total gain on the shares to be distributed                | \$13,103     |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$33         |
| <br>  |              |
| Total shares owned  | 23,206       |
| <br>  |              |
| Subtotal for shares to be sold (in number of shares to be sold)         | 6,956        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 530          |
| <b>Total number of shares to sell</b>                                   | <b>7,487</b> |



**Calculation of Tax and Commission on Shares to be Sold**

| <b>Proceeds</b>  | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>     | <b>Comm</b>  |
|------------------|---------------|--------------------|----------------|--------------|
| \$165,141        | 6,667         | \$15,167           | \$6,456.63     | \$412.85     |
| \$6,869          | 277           | \$631              | \$268.58       | \$17.17      |
| \$286            | 12            | \$26               | \$11.17        | \$0.71       |
| \$12             | 0             | \$1                | \$0.46         | \$0.03       |
| \$0              | 0             | \$0                | \$0.02         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| \$0              | 0             | \$0                | \$0.00         | \$0.00       |
| <b>\$172,309</b> | <b>6,956</b>  | <b>\$15,826</b>    | <b>\$6,737</b> | <b>\$431</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| <b>Proceeds</b> | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b>      | <b>Comm</b> |
|-----------------|---------------|--------------------|-----------------|-------------|
| \$0             | 13,000        | \$29,574           | \$12,589.57     | \$0.00      |
| \$12,590        | 508           | \$1,156            | \$492.22        | \$31.47     |
| \$524           | 21            | \$48               | \$20.48         | \$1.31      |
| \$22            | 1             | \$2                | \$0.85          | \$0.05      |
| \$1             | 0             | \$0                | \$0.04          | \$0.00      |
| \$0             | 0             | \$0                | \$0.00          | \$0.00      |
| \$0             | 0             | \$0                | \$0.00          | \$0.00      |
| \$0             | 0             | \$0                | \$0.00          | \$0.00      |
| \$0             | 0             | \$0                | \$0.00          | \$0.00      |
| \$0             | 0             | \$0                | \$0.00          | \$0.00      |
| <b>\$13,136</b> | <b>13,530</b> | <b>\$30,781</b>    | <b>\$13,103</b> | <b>\$33</b> |

# **EXHIBIT 7**

SNAP

## Snap Inc. Distribution Schedule

|                                       |
|---------------------------------------|
| Proposed Distribution Percentage: 60% |
|---------------------------------------|

| Investor      |                  |                  |                | Allowed Shares After<br>42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 40%<br>Admin Stock<br>Reserve) |
|---------------|------------------|------------------|----------------|--|--|
| ID No.        | Gross Investment | 30% Gross Inv.   | Allowed Shares |  |  |
| 162           | \$204,107        | \$61,232         | 11,430         | 8,932  | 5,359  |
| 189           | \$35,000         | \$10,500         | 2,000          | 1,563  | 938  |
| 299           | \$10,714         | \$3,214          | 600            | 469  | 281  |
| 312           | \$300,000        | \$90,000         | 17,143         | 13,396   | 8,038  |
| <b>Totals</b> | <b>\$549,821</b> | <b>\$164,946</b> | <b>31,173</b>  | <b>24,360</b>  | <b>14,616</b>  |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |            |                     |        |
|---|------------|---------------------|--------|
| 30% of Gross Investment   | \$164,946  |                     |        |
| Shares to sell to create 30% Plan Fund                            | 6,796      |                     |        |
| Tax Basis per share   | \$30.72    |                     |        |
| Sales Price per share   | \$24.27    |                     |        |
| Gain/(loss) per share   | (\$6.45)   |                     |        |
| Total gain/(loss) for shares to be sold                           | (\$43,946) |                     |        |
| 42.57% Tax on total gain on the shares to be sold                 | \$0        | Federal             | 37%    |
| Broker Commission Rate  | 0.25%      | State Tax           | 8.84%  |
| Total Commissions on the shares to be sold                        | \$413      | State Tax Deduction | -3.27% |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 17         |                     | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |              |
|---|--------------|
| Sales Price per share (at the time of distribution)                     | \$24.27      |
| Gain/(loss) per share   | (\$6.45)     |
| Total gain/(loss) for shares to be distributed                          | (\$94,272)   |
| 42.57% Tax on total gain on the shares to be distributed                | \$0          |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0          |
| <br>  |              |
| Total shares owned  | 31,172       |
| <br>  |              |
| Subtotal for shares to be sold (in number of shares to be sold)         | 6,813        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0            |
| <b>Total number of shares to sell</b>                                   | <b>6,813</b> |

**Calculation of Tax and Commission on Shares to be Sold**

| <b>Proceeds</b>  | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b> | <b>Comm</b>  |
|------------------|---------------|--------------------|------------|--------------|
| \$164,946        | 6,796         | (\$43,836)         | \$0.00     | \$412.37     |
| \$412            | 17            | (\$110)            | \$0.00     | \$1.03       |
| \$1              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| \$0              | 0             | (\$0)              | \$0.00     | \$0.00       |
| <b>\$165,360</b> | <b>6,813</b>  | <b>(\$43,946)</b>  | <b>\$0</b> | <b>\$413</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| <b>Proceeds</b> | <b>Shares</b> | <b>Gain/(Loss)</b> | <b>Tax</b> | <b>Comm</b> |
|-----------------|---------------|--------------------|------------|-------------|
| \$0             | 14,616        | (\$94,272)         | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| \$0             | 0             | \$0                | \$0.00     | \$0.00      |
| <b>\$0</b>      | <b>14,616</b> | <b>(\$94,272)</b>  | <b>\$0</b> | <b>\$0</b>  |

# **EXHIBIT 8**

UBER

Uber Technologies Distribution Schedule

|                                       |
|---------------------------------------|
| Proposed Distribution Percentage: 90% |
|---------------------------------------|

| Investor      |                  |                |                | Allowed Shares After<br>42.57% Tax Reserve,<br>30% Plan Fund and<br>Broker Commissions | Shares to Distribute<br>(remainder to 10%<br>Admin Stock<br>Reserve) |
|---------------|------------------|----------------|----------------|--|--|
| ID No.        | Gross Investment | 30% Gross Inv. | Allowed Shares |  |  |
| 37            | \$19,388         | \$5,816        | 500            | 316  | 285  |
| <b>Totals</b> | <b>\$19,388</b>  | <b>\$5,816</b> | <b>500</b>     | <b>316</b>   | <b>285</b>   |

**Calculation of Stock Sale for Plan Fund, Tax Holding Account and Commissions**

|   |          |                          |        |
|---|----------|--------------------------|--------|
| 30% of Gross Investment   | \$5,816  |                          |        |
| Shares to sell to create 30% Plan Fund                            | 183      |                          |        |
| Tax Basis per share   | \$36.61  | <i>Received 01/21/20</i> |        |
| Sales Price per share   | \$31.72  |                          |        |
| Gain/(loss) per share   | (\$4.89) |                          |        |
| Total gain/(loss) for shares to be sold                           | (\$898)  |                          |        |
| 42.57% Tax on total gain on the shares to be sold                 | \$0      | Federal                  | 37%    |
| Broker Commission Rate  | 0.25%    | State Tax                | 8.84%  |
| Total Commissions on the shares to be sold                        | \$15     | State Tax Deduction      | -3.27% |
| Shares to sell to fund 42.57% tax and pay 0.25% broker commission | 1        |                          | 42.57% |

**Calculation of Shares to Sell for Tax Holding Account and Commission for Distribution of Shares**

|   |            |
|---|------------|
| Sales Price per share (at the time of distribution)                     | \$31.72    |
| Gain/(loss) per share   | (\$4.89)   |
| Total gain/(loss) for shares to be distributed                          | (\$1,390)  |
| 42.57% Tax on total gain on the shares to be distributed                | \$0        |
| Total Commissions on the shares sold to pay taxes on distributed shares | \$0        |
| <br>  |            |
| Total shares owned  | 500        |
| <br>  |            |
| Subtotal for shares to be sold (in number of shares to be sold)         | 184        |
| Subtotal for shares to be distributed (in number of shares to be sold)  | 0          |
| <b>Total number of shares to sell</b>                                   | <b>184</b> |



**Calculation of Tax and Commission on Shares to be Sold**

| Proceeds       | Shares     | Gain/(Loss)    | Tax        | Comm        |
|----------------|------------|----------------|------------|-------------|
| \$5,816        | 183        | (\$896)        | \$0.00     | \$14.54     |
| \$15           | 0          | (\$2)          | \$0.00     | \$0.04      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| \$0            | 0          | (\$0)          | \$0.00     | \$0.00      |
| <b>\$5,831</b> | <b>184</b> | <b>(\$898)</b> | <b>\$0</b> | <b>\$15</b> |

**Calculation of Tax and Commission on Shares to be Distributed**

| Proceeds   | Shares     | Gain/(Loss)      | Tax        | Comm       |
|------------|------------|------------------|------------|------------|
| \$0        | 285        | (\$1,390)        | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| \$0        | 0          | \$0              | \$0.00     | \$0.00     |
| <b>\$0</b> | <b>285</b> | <b>(\$1,390)</b> | <b>\$0</b> | <b>\$0</b> |