

1 **RAINES FELDMAN LITTRELL LLP**  
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 8 *Successor Receiver*

8 **UNITED STATES DISTRICT COURT**  
 9 **NORTHERN DISTRICT OF CALIFORNIA**  
 10 **SAN FRANCISCO DIVISION**

11 SECURITIES AND EXCHANGE  
 12 COMMISSION,

13 Plaintiffs,

14 v.

15 JOHN V. BIVONA; SADDLE RIVER  
 16 ADVISORS, LLC; SRA MANAGEMENT  
 ASSOCIATES, LLC; FRANK GREGORY  
 MAZZOLA,

17 Defendants, and

18 SRA I LLC; SRA II LLC; SRA III LLC;  
 19 FELIX INVESTMENTS, LLC; MICHELE J.  
 MAZZOLA; ANNE BIVONA; CLEAR  
 20 SAILING GROUP IV LLC; CLEAR SAILING  
 GROUP V LLC,

21 Relief Defendants.

Case No.: 3:16-cv-01386-EMC

**DECLARATION OF KATHY  
 BAZOIAN PHELPS IN SUPPORT OF  
 EIGHTEENTH INTERIM  
 ADMINISTRATIVE MOTION FOR  
 AN ORDER PURSUANT TO LOCAL  
 RULE 7-11 FOR THE APPROVAL  
 OF FEES AND EXPENSES FOR THE  
 SUCCESSOR RECEIVER, RAINES  
 FELDMAN LITTRELL LLP, AND  
 SCHINNER & SHAIN, LLP FROM  
 APRIL 1, 2023 THROUGH JUNE 30,  
 2023**

Date: No Hearing Set  
 Time: No Hearing Set  
 Judge: Edward M. Chen

1 I, Kathy Bazoian Phelps, declare:

2 1. Pursuant to this Court’s Revised Order Appointing Receiver, entered on  
3 February 28, 2019, I was appointed as the successor receiver (“Receiver”) in this case. I am  
4 also an attorney duly licensed to practice in the State of California and am partner at the firm  
5 of Raines Feldman Littrell LLP (“Raines”). I have personal knowledge of the matters set forth  
6 below and if called as a witness, I would and could testify competently to the matters stated  
7 herein.

8 2. This declaration is made in support of the Eighteenth Interim Administrative  
9 Motion for an Order Pursuant to Local Rule 7-11 for the Approval of Fees and Expenses for  
10 the Successor Receiver, Raines Feldman Littrell LLP, and Schinner & Shain, LLP from April  
11 1, 2023 through June 30, 2023 (“Motion”).

12 3. On May 10, 2021, the Court entered an order authorizing the Receiver to  
13 employ Raines as the Receiver’s general counsel retroactively to April 23, 2021 (the  
14 “Employment Order”). I directly supervise the professionals and staff of Raines with respect  
15 to this representation.

16 4. Attached hereto as Exhibit “1” is a financial summary of the receivership estate  
17 for the second quarter 2023, as of June 30, 2023. The financial summary sets forth the cash on  
18 hand in the estate. The summary also includes the known accrued but unpaid administration  
19 expenses through June 30, 2023, and the net unencumbered cash of the estate after deducting  
20 the known incurred expenses, including the fees and costs subject to this Motion and including  
21 holdbacks.

22 5. Attached hereto as Exhibit “2” is the Standardized Fund Accounting Report for  
23 the second quarter 2023, prepared on the form requested by the Securities and Exchange  
24 Commission (“SEC” or “Commission”) to reflect the cash activity in the case during this  
25 period.

26 6. Attached hereto as Exhibit “3” is a list of prior fee applications I have made to  
27 this Court, all of which have been approved.

28

1           7. Pursuant to my proposal for my appointment, and in recognition of the  
2 efficiencies and benefits to the estate in my role as Receiver that I can also address legal issues  
3 arising in the estate, I have divided Raines and my time between various billing categories.  
4 For the period of April 1, 2023 through June 30, 2023 (the “Motion Period”), Raines and I  
5 performed services between the following billing categories:

6                   B110 – Case Administration

7                   B120 – Asset Analysis and Recovery

8                   B130 – Asset Disposition

9                   B320 – Plan Implementation

10           8. In the interests of the estate and pursuant to the Employment Order, I have  
11 discounted my hourly rate to \$465.00 from my standard hourly rate \$760.00, thereby  
12 generating savings to the estate. Expenses are billed separately. Attached hereto as Exhibit  
13 “4” are true and correct copies of the billing statements itemizing the legal services provided  
14 by me in this case during the Motion Period.

15           9. In the interests of the estate and pursuant to the Employment Order, senior  
16 attorneys at Raines capped their hourly rates at \$465. The hourly rates of other attorneys and  
17 paralegals have also been discounted. Expenses are billed separately. Attached hereto as  
18 Exhibit “5” are true and correct copies of the billing statements itemizing the legal services  
19 provided by Raines in this case.

20 B110 - Case Administration

21           10. As set forth in Exhibit 4, during the Motion Period, with respect to Category  
22 B110, I performed 23.20 hours of services for total fees of \$10,788.00 in administering the  
23 estate. As set forth in Exhibit 5, during the Motion Period, Raines performed 20.10 hours for  
24 total fees of \$7,959.60 in assisting the Receiver with administering the estate.

25           11. During the Motion Period, I have continued to take actions to manage the  
26 administration of the case, including issues dealing with financial reporting and banking. I  
27 review the statements for the multiple accounts in this case on a monthly basis and monitor the  
28

1 financial transactions throughout the month. I manage the funds of the receivership estate and  
2 handle banking and the accounts at East West Bank.<sup>1</sup>

3 12. Raines and I prepared the status report for the first quarter of 2023 to apprise  
4 the Court of developments in the receivership and my activities in the case and also prepared  
5 the affiliated cash disbursement schedules and the Standard Fund Accounting Report for the  
6 SEC.

7 13. I have also continued to communicate with the SEC regarding the status of the  
8 receivership, distribution issues, and evaluation of deficiency claims.

9 14. I have been in communication with the SEC, Investor Advisory Committee, and  
10 counsel for a claimant regarding the terms of the draft motion to modify to the Plan of  
11 Distribution (“Plan”) (Doc. No. 570-1).

12 15. I continue to work with counsel regarding the Cilano claim and the SEC to  
13 resolve outstanding issues relating to distribution.

14 16. Raines and I continued to monitor the payment of the Sabrin settlement sum  
15 and communicate with counsel regarding this matter. Once the settlement payment was paid in  
16 full, Raines and I drafted a stipulation of dismissal in the Sabrin case that was granted by the  
17 Court, *Phelps v. Sabrin*, No. 3:20-cv-02915-EMC, Dkts. 52-53 (N.D. Cal.), and a related status  
18 report.

19 17. Raines and I addressed investor inquiries and communications. Raines and I  
20 also updated the receivership website.

21 B120 – Asset Analysis and Recovery

22 18. As set forth in Exhibit 4, during the Motion Period, with respect to Category  
23 B120, I performed 19.60 hours of services for total fees of \$9,114.00 in the category of asset  
24 analysis and recovery.

25 <sup>1</sup> In first quarter 2023, I transferred the Receiver’s checking account at Wells Fargo to the  
26 account with East West Bank for the Plan Fund. I also transferred the Segregated Anne  
27 Bivona Funds account at Wells Fargo to a new account at East West Bank. I established cash  
28 sweep accounts for the associated Airbnb Administrative Reserve, and the Evernote Reserve,  
and the Segregated Anne Bivona Funds accounts at East West Bank so that the funds are fully  
insured. See Exhibit 1.

1           19.     During the Motion Period, I analyzed the estate’s options with respect to the  
2 sale or distribution of the remaining pre-IPO securities of ZocDoc, Inc., Lookout, Inc., and  
3 Addepar, Inc. and drafted legal documents to effect the same. We have been in  
4 communication with counsel regarding the securities of ZocDoc, Inc. following the Order  
5 granting the Motion for Order Directing ZocDoc, Inc. to Transfer Shares (Doc. No. 690). In  
6 support of these efforts, Frederick Koenen of Schinner & Shain, LLP has provided me with  
7 necessary and beneficial securities law services.

8           20.     I have also been in communication with the forward contract obligor regarding  
9 the securities of Lookout, Inc.

10          21.     Raines and I also continued to monitor the payment of the Sabrin settlement  
11 sum and communicate with counsel regarding this matter.

12 B130 – Asset Disposition

13          22.     As set forth in Exhibit 5, during the Motion Period, Raines performed 46.10  
14 hours for total fees of \$18,255.60 in assisting the Receiver in disposing of the estate’s assets.

15          23.     Raines assisted the Receiver with coordinating the potential for bringing the  
16 shares of ZocDoc, Inc., Lookout, Inc., and Addepar, Inc., mostly currently held via forward  
17 contracts, directly into the estate, for purposes of eventual disposition following the Order  
18 granting the Motion for Order Directing ZocDoc, Inc. to Transfer Shares (Doc. No. 690).

19 B320 – Plan Implementation

20          24.     As set forth in Exhibit 5, during the Motion Period, Raines performed 6.40  
21 hours for total fees of \$2,534.40 in assisting the Receiver in implementing the Plan.

22          25.     Raines drafted a motion to modify the distribution plan and supporting exhibits,  
23 proposed order, and notice.

24   \*                         \*                         \*

25          26.     I have read the Motion and the billing statements attached to my declaration.  
26 To the best of my knowledge, information and belief formed after reasonable inquiry, all the  
27 fees and expenses requested in the attached billing statements are true and correct and the  
28

1 Motion complies with the Billing Instructions for Receivers in Civil Actions Commenced by  
2 the U.S. Securities and Exchange Commission.

3 27. The fees that Raines and I have charged are reasonable, necessary, and  
4 commensurate with the skill and experience required for the activity performed. Raines'  
5 services and time expenditures are reasonable in light of the labor required for the matters for  
6 which Raines was retained and the balancing that must be performed to efficiently and  
7 effectively represent the Receiver. I respectfully submit that neither I nor my staff has  
8 expended time unnecessarily and that I have rendered efficient and effective services.

9 28. In seeking reimbursement of services for which I purchased or contracted for  
10 from a third party, I have only requested reimbursement for the amount billed by the third-  
11 party vendor and paid to the vendor. I have not made a profit on such reimbursable services. I  
12 have not included in the amount for which reimbursement is sought the amortization of the  
13 cost of any investment, equipment, or capital outlay.

14 29. I have agreed to a 20% holdback of my fees requested in this Motion. The SEC  
15 has agreed not to require a holdback for my professionals in this Motion, so I request authority  
16 to pay 80% of my allowed fees and 100% of the allowed fees of my professionals in  
17 connection with this Motion.

18 30. I have conferred with counsel for the Securities and Exchange Commission and  
19 I am advised that the Commission does not oppose the Motion. A stipulation with all parties  
20 was deemed impractical given, among other things, the entry of judgment against defendants  
21 and pending bankruptcy of defendant John Bivona.

22

23 I declare under penalty of perjury that the foregoing is true and correct. Executed on  
24 this 28th day of August 2023 at Los Angeles, California.

25

26

/s/ Kathy Bazoian Phelps

Kathy Bazoian Phelps

27

28

# EXHIBIT 1

**Receivership Estate of SRA Management Associates, LLC et al  
2nd Quarter 2023 - Cash Receipts and Disbursements**
**Checking #0063**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			\$0.00
6/30/2023	Ending Balance			\$0.00

**Brokerage #2849 (Brokerage with Stocks and Mutual Fund)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance (Cash Sweep Only)			\$0.00
6/30/2023	Ending Balance (Cash Sweep Only)			\$0.00

**Brokerage #7306 (Anne Bivona funds)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			\$0.00
6/30/2023	Ending Balance			\$0.00

**East West #2636 (Anne Bivona Segregated Funds) (New)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			\$25,319.31
4/3/2023	Transfer to insured cash sweep		\$319.31	\$25,000.00
4/4/2023	Maintenance fee		\$25.00	\$24,975.00
4/4/2023	Transfer from insured cash sweep for fee	\$25.00		\$25,000.00
4/30/2023	Interest	\$65.60		\$25,065.60
5/1/2023	Maintenance fee		\$25.00	\$25,040.60
5/1/2023	Transfer to insured cash sweep		\$40.60	\$25,000.00
5/31/2023	Interest	\$69.01		\$25,069.01
6/1/2023	Transfer to insured cash sweep		\$69.01	\$25,000.00
6/6/2023	Maintenance fee		\$25.00	\$24,975.00
6/6/2023	Transfer from insured cash sweep for fee	\$25.00		\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			\$25,066.78

**East West #0704 (Tax Holding Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			\$25,319.32
4/3/2023	Transfer to insured cash sweep		\$319.32	\$25,000.00
4/30/2023	Interest	\$65.60		\$25,065.60
5/1/2023	Transfer to insured cash sweep		\$65.60	\$25,000.00
5/31/2023	Interest	\$69.01		\$25,069.01
6/1/2023	Transfer to insured cash sweep		\$69.01	\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			\$25,066.78

**East West #0697 (Plan Fund)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			\$25,319.32
4/3/2023	Transfer to insured cash sweep		\$319.32	\$25,000.00
4/5/2023	Maintenance fee		\$40.00	\$24,960.00
4/5/2023	Transfer from insured cash sweep for fee	\$40.00		\$25,000.00
4/26/2023	Deposit - Check from WF #7306 (Anne Bivona funds) acct.	\$2.20		\$25,002.20
4/26/2023	Transfer to insured cash sweep		\$2.20	\$25,000.00
4/30/2023	Interest	\$65.60		\$25,065.60
5/1/2023	Maintenance fee		\$40.00	\$25,025.60
5/1/2023	Transfer to insured cash sweep		\$25.60	\$25,000.00
5/31/2023	Interest	\$69.01		\$25,069.01
6/1/2023	Transfer to insured cash sweep		\$69.01	\$25,000.00



**Receivership Estate of SRA Management Associates, LLC et al  
2nd Quarter 2023 - Cash Receipts and Disbursements**

6/5/2023	Transfer from insured cash sweep for fee and pro. fees	\$10,763.20		\$35,763.20
6/5/2023	Payment of Fees to K. Phelps (RF)		\$5,654.40	\$30,108.80
6/5/2023	Payment of Fees to Raines Feldman		\$5,068.80	\$25,040.00
6/5/2023	Maintenance fee		\$40.00	\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			<b>\$25,066.78</b>

**East West #1264 (MongoDB Admin Reserve Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			<b>\$25,319.31</b>
4/3/2023	Transfer to insured cash sweep		\$319.31	\$25,000.00
4/13/2023	Sabrin Settlement Payment	\$1,333.34		\$26,333.34
4/13/2023	Transfer to insured cash sweep		\$1,333.34	\$25,000.00
4/30/2023	Interest	\$65.60		\$25,065.60
5/1/2023	Transfer to insured cash sweep		\$65.60	\$25,000.00
5/31/2023	Interest	\$69.01		\$25,069.01
6/1/2023	Transfer to insured cash sweep		\$69.01	\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			<b>\$25,066.78</b>

**East West #1257 (Palantir Admin Reserve Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			<b>\$25,319.32</b>
4/3/2023	Transfer to insured cash sweep		\$319.32	\$25,000.00
4/30/2023	Interest	\$65.60		\$25,065.60
5/1/2023	Transfer to insured cash sweep		\$65.60	\$25,000.00
5/31/2023	Interest	\$69.01		\$25,069.01
6/1/2023	Transfer to insured cash sweep		\$69.01	\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			<b>\$25,066.78</b>

**East West #1432 (Airbnb Admin Reserve Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			<b>\$25,181.40</b>
4/3/2023	Transfer to insured cash sweep		\$181.40	\$25,000.00
4/4/2023	Maintenance fee		\$25.00	\$24,975.00
4/4/2023	Transfer from insured cash sweep for fee	\$25.00		\$25,000.00
4/30/2023	Interest	\$65.58		\$25,065.58
5/1/2023	Maintenance fee		\$25.00	\$25,040.58
5/1/2023	Transfer to insured cash sweep		\$40.58	\$25,000.00
5/31/2023	Interest	\$69.00		\$25,069.00
6/1/2023	Transfer to insured cash sweep		\$69.00	\$25,000.00
6/6/2023	Maintenance fee		\$25.00	\$24,975.00
6/6/2023	Transfer from insured cash sweep for fee	\$25.00		\$25,000.00
6/9/2023	Maintenance fee reversal	\$75.00		\$25,075.00
6/9/2023	Transfer to insured cash sweep		\$75.00	\$25,000.00
6/30/2023	Interest	\$66.78		\$25,066.78
6/30/2023	Ending Balance			<b>\$25,066.78</b>

**East West #1705 (Evernote Admin Reserve Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			<b>\$25,160.63</b>
4/3/2023	Transfer to insured cash sweep		\$160.63	\$25,000.00
4/4/2023	Maintenance fee		\$25.00	\$24,975.00
4/4/2023	Transfer from insured cash sweep for fee	\$25.00		\$25,000.00

**Receivership Estate of SRA Management Associates, LLC et al  
2nd Quarter 2023 - Cash Receipts and Disbursements**

4/30/2023 Interest	\$65.57		\$25,065.57
5/1/2023 Maintenance fee		\$25.00	\$25,040.57
5/1/2023 Transfer to insured cash sweep		\$40.57	\$25,000.00
5/31/2023 Interest	\$69.01		\$25,069.01
6/1/2023 Transfer to insured cash sweep		\$69.01	\$25,000.00
6/6/2023 Maintenance fee		\$25.00	\$24,975.00
6/6/2023 Transfer from insured cash sweep for fee	\$25.00		\$25,000.00
6/9/2023 Maintenance fee reversal	\$75.00		\$25,075.00
6/9/2023 Transfer to insured cash sweep		\$75.00	\$25,000.00
6/30/2023 Interest	\$66.78		\$25,066.78
6/30/2023 Ending Balance			<b>\$25,066.78</b>

**East West #1070 (ICS Cash Sweep for Tax Holding Account)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$5,099,279.25</b>
4/4/2023	Transfer from checking for interest	\$319.32		\$5,099,598.57
4/28/2023	Interest	\$13,359.33		\$5,112,957.90
5/2/2023	Transfer from checking for interest	\$65.60		\$5,113,023.50
5/31/2023	Interest	\$14,132.13		\$5,127,155.63
6/2/2023	Transfer from checking for interest	\$69.01		\$5,127,224.64
6/30/2023	Interest	\$13,713.53		\$5,140,938.17
6/30/2023	Ending balance			<b>\$5,140,938.17</b>

**East West #1062 (ICS Cash Sweep for Plan Fund)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening Balance			<b>\$4,432,166.89</b>
4/4/2023	Transfer from checking for interest	\$319.32		\$4,432,486.21
4/6/2023	Transfer to checking for maintenance fee		\$40.00	\$4,432,446.21
4/27/2023	Transfer from checking from deposit	\$2.20		\$4,432,448.41
4/28/2023	Interest	\$11,611.52		\$4,444,059.93
5/2/2023	Transfer from checking for interest (less \$40 fee)	\$25.60		\$4,444,085.53
5/31/2023	Interest	\$12,283.14		\$4,456,368.67
6/2/2023	Transfer from checking for interest	\$69.01		\$4,456,437.68
6/6/2023	Transfer to checking for fee and pro. fees		\$10,763.20	\$4,445,674.48
6/30/2023	Interest	\$11,895.40		\$4,457,569.88
6/30/2023	Ending Balance			<b>\$4,457,569.88</b>

**East West #1120 (ICS Cash Sweep for Palantir Admin Reserve)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$13,335,500.86</b>
4/4/2023	Transfer from checking for interest	\$319.32		\$13,335,820.18
4/28/2023	Interest	\$34,935.74		\$13,370,755.92
5/2/2023	Transfer from checking for interest	\$65.60		\$13,370,821.52
5/31/2023	Interest	\$36,956.25		\$13,407,777.77
6/2/2023	Transfer from checking for interest	\$69.01		\$13,407,846.78
6/30/2023	Interest	\$35,861.24		\$13,443,708.02
6/30/2023	Ending balance			<b>\$13,443,708.02</b>

**East West #1161 (ICS Cash Sweep for MongoDB Admin Reserve)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$381,944.04</b>
4/4/2023	Transfer from checking for interest	\$319.32		\$382,263.36
4/14/2023	Transfer from checking for settlement payment	\$1,333.34		\$383,596.70
4/28/2023	Interest	\$1,003.33		\$384,600.03
5/2/2023	Transfer from checking for interest	\$65.60		\$384,665.63

**Receivership Estate of SRA Management Associates, LLC et al  
2nd Quarter 2023 - Cash Receipts and Disbursements**

5/31/2023 Interest	\$1,063.18	\$385,728.81
6/2/2023 Transfer from checking for interest	\$69.01	\$385,797.82
6/30/2023 Interest	\$1,031.86	\$386,829.68
6/30/2023 Ending balance		<b>\$386,829.68</b>

**East West (ICS Cash Sweep for Anne Bivona Segregated Funds) (New)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$511,676.19</b>
4/4/2023	Transfer from checking for interest	\$319.31		\$511,995.50
4/5/2023	Transfer to checking for maintenance fee		\$25.00	\$511,970.50
4/28/2023	Interest	\$1,298.93		\$513,269.43
5/2/2023	Transfer from checking for interest (less \$25 fee)	\$40.60		\$513,310.03
5/31/2023	Interest	\$1,418.76		\$514,728.79
6/2/2023	Transfer from checking for interest	\$69.01		\$514,797.80
6/7/2023	Transfer to checking for maintenance fee		\$25.00	\$514,772.80
6/30/2023	Interest	\$1,376.84		\$516,149.64
6/30/2023	Ending balance			<b>\$516,149.64</b>

**East West (ICS Cash Sweep for Airbnb Reserve Account) (New)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$94,909.17</b>
4/4/2023	Transfer from checking for interest	\$181.40		\$95,090.57
4/5/2023	Transfer to checking for maintenance fee		\$25.00	\$95,065.57
4/28/2023	Interest	\$249.00		\$95,314.57
5/2/2023	Transfer from checking for interest (less \$25 fee)	\$40.58		\$95,355.15
5/31/2023	Interest	\$263.55		\$95,618.70
6/2/2023	Transfer from checking for interest	\$69.00		\$95,687.70
6/7/2023	Transfer to checking for maintenance fee		\$25.00	\$95,662.70
6/12/2023	Transfer from checking for fee reversal	\$75.00		\$95,737.70
6/30/2023	Interest	\$256.00		\$95,993.70
5/31/2023	Ending balance			<b>\$95,993.70</b>

**East West (ICS Cash Sweep for Evernote Reserve Account) (New)**

Date	Notes	Deposits	Withdrawals	Balance
4/1/2023	Opening balance			<b>\$72,507.83</b>
4/4/2023	Transfer from checking for interest	\$160.63		\$72,668.46
4/5/2023	Transfer to checking for maintenance fee		\$25.00	\$72,643.46
4/28/2023	Interest	\$190.26		\$72,833.72
5/2/2023	Transfer from checking for interest (less \$25 fee)	\$40.57		\$72,874.29
5/31/2023	Interest	\$201.40		\$73,075.69
6/2/2023	Transfer from checking for interest	\$69.01		\$73,144.70
6/7/2023	Transfer to checking for maintenance fee		\$25.00	\$73,119.70
6/12/2023	Transfer from checking for fee reversal	\$75.00		\$73,194.70
6/30/2023	Interest	\$195.70		\$73,390.40
6/30/2023	Ending balance			<b>\$73,390.40</b>

**Cash Position of Receivership Estate of SRA Management Associates, LLC et al  
As of March 31, 2023**

Cash		
WF Checking		\$0.00
WF Brokerage		\$0.00
Anne Bivona Funds		\$0.00
Anne Bivona Funds (New)		\$25,066.78

**Receivership Estate of SRA Management Associates, LLC et al**  
**2nd Quarter 2023 - Cash Receipts and Disbursements**

Anne Bivona ICS (New)	\$516,149.64
Plan Fund checking	\$25,066.78
Plan Fund ICS	\$4,457,569.88
Tax Holding checking	\$25,066.78
Tax Holding ICS	\$5,140,938.17
Palantir Admin Reserve checking	\$25,066.78
Palantir Admin Reserve ICS	\$13,443,708.02
MongoDB Admin Reserve checking	\$25,066.78
MongoDB Admin Reserve ICS	\$386,829.68
Airbnb Reserve	\$25,066.78
Airbnb Reserve ICS (New)	\$95,993.70
Evernote Reserve	\$25,066.78
Evernote Reserve ICS (New)	\$73,390.40
Total	<b>\$24,290,046.95</b>

**Holdbacks (incl thru 1Q 2023)**

Sherwood Partners, Former Receiver	\$144,627.50
Kathy Bazoian Phelps, Receiver (Diamond McCarthy)	\$108,980.07
Kathy Bazoian Phelps, Receiver (Raines Feldman)	\$22,464.80
Total	<b>\$276,072.37</b>

**Accrued Fees for 2nd Qtr 2023**

Kathy Bazoian Phelps, Receiver	\$19,902.00
Costs	\$0.00
Raines Feldman	\$32,155.20
Costs	\$0.00
Miller Kaplan	\$0.00
Costs	\$0.00
Schinner & Shain	\$3,334.50
Costs	\$0.00
Total	<b>\$55,391.70</b>

# EXHIBIT 2

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC  
 Reporting Period 4/1/2023 to 6/30/2023

FUND ACCOUNTING (See Instructions):				
		Detail	Subtotal	Grand Total
Line 1	Beginning Balance (As of 4/1/2023):			\$ 24,104,922.85
	Wells Fargo Bank Checking		\$ -	
	Wells Fargo Brokerage (Mutual Fund and Cash Sweep)		\$ -	
	Wells Fargo Bank - Anne Bivona Funds		\$ -	
	East West Bank -- Anne Bivona Funds (New)		\$ 25,319.31	
	East West Bank -- Anne Bivona ICS (New)		\$ 511,676.19	
	East West Bank -- Plan Fund Checking		\$ 25,319.32	
	East West Bank -- Plan Fund ICS		\$ 4,432,166.89	
	East West Bank -- Palantir Checking		\$ 25,319.32	
	East West Bank -- Palantir ICS		\$ 13,335,500.86	
	East West Bank -- MongoDB Checking		\$ 25,319.32	
	East West Bank -- MongoDB ICS		\$ 381,944.04	
	East West Bank -- Airbnb Checking		\$ 25,181.40	
	East West Bank -- Airbnb ICS (New)		\$ 94,909.17	
	East West Bank -- Tax Holding Account Checking		\$ 25,319.32	
	East West Bank -- Tax Holding Account ICS		\$ 5,099,279.25	
	East West Bank - Evernote Reserve		\$ 25,160.63	
	East West Bank - Evernote Reserve ICS (New)		\$ 72,507.83	
	<b>Increases In Fund Balance:</b>			
Line 2	Business Income			
Line 3	Cash and Securities			
Line 4	Interest/Dividend Income			
	Anne Bivona Funds Interest	\$ 4,295.92		\$ 4,295.92
	Plan Fund Interest	\$ 35,991.45		\$ 35,991.45
	Tax Holding Account Interest	\$ 41,406.38		\$ 41,406.38
	Palantir Reserve Interest	\$ 107,954.62		\$ 107,954.62
	Mongo Reserve Interest	\$ 3,299.76		\$ 3,299.76
	Airbnb Reserve Interest	\$ 969.91		\$ 969.91
	Evernote Reserve Interest	\$ 788.72		\$ 788.72
Line 5	Business Asset Liquidation			
Line 6	Personal Asset Liquidation			
Line 7	Third-Party Litigation Income (Settlement Payments)	\$ 1,333.34		\$ 1,333.34
Line 8	Miscellaneous - Other			
	Deposit - Check from WF #7306 (Anne Bivona funds) acct	\$ 2.20		\$ 2.20
				\$ -
	<b>Total Funds Available (Lines 1-8):</b>			\$ 24,300,965.15
	<b>Decreases In Fund Balance:</b>			\$ 10,918.20
Line 9	Disbursements to Investors		\$ -	\$ -
	Wire Fee		\$ -	\$ -
	Bank Fees		\$ -	\$ -
	Fees on sale of stock		\$ -	\$ -
Line 10	Disbursements for Receivership Operations			
Line 10a	Disbursements to Receiver or Other Professionals		\$ 10,723.20	
Line 10b	Business Asset Expenses			
Line 10c	Personal Asset Expenses			
Line 10d	Investment Expenses			
Line 10e	Third-Party Litigation Expenses			
1. Attorney Fees				

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC  
 Reporting Period 4/1/2023 to 6/30/2023

	2. <i>Litigation Expenses</i>			
	Total Third-Party Litigation Expenses			
Line 10f	Tax Administrator Fees and Bonds			
Line 10g	Federal and State Tax Payments			
	Other - Bank Fees		\$ 195.00	
	<b>Total Disbursements for Receivership Operations</b>			\$ 10,918.20
Line 11	<b>Disbursements for Distribution Expenses Paid by the Fund:</b>			N/A
Line 11a	<i>Distribution Plan Development Expenses:</i>			
	1. Fees:			
	Fund Administration.....			
	Independent Distribution Consultation (IDC).....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses			
Line 11b	<i>Distribution Plan Implementation Expenses:</i>			
	1. Fees			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan			
	Claimant Identification			
	Claims Processing			
	Web Site Maintenance/Call Center			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses			
	Total Plan Implementation Expenses			
	<b>Total Disbursements for Distribution Expenses Paid by the Fund</b>			
Line 12	<b>Disbursements to Court/Other:</b>			
Line 12a	<i>Investment Expenses/Court Registry Investment</i>			
	System (CRIS) Fees			
Line 12b	<i>Federal Tax Payments</i>			
	<b>Total Disbursements to Court/Other</b>			
	<b>Total Funds Disbursed (Lines 9-11)</b>			\$ 10,918.20
Line 13	<b>Ending Balance (As of 6/30/2023)</b>			\$ 24,290,046.95
Line 14	<b>Ending Balance of Fund - Not Assets:</b>			
Line 14a	<i>Cash &amp; Cash Equivalents</i>			
	Checking	\$ -		\$ -
	Brokerage Cash Sweep	\$ -		\$ -
	Anne Bivona Funds	\$ -		\$ -
	Anne Bivona Funds (New)	\$ 25,066.78		\$ 25,066.78
	Anne Bivona Funds ICS Account (New)	\$ 516,149.64		\$ 516,149.64
	Plan Fund	\$ 25,066.78		\$ 25,066.78
	Plan Fund ICS Account	\$ 4,457,569.88		\$ 4,457,569.88
	Tax Holding Account	\$ 25,066.78		\$ 25,066.78
	Tax Holding Account ICS Account	\$ 5,140,938.17		\$ 5,140,938.17
	Palantir Administrative Reserve Account	\$ 25,066.78		\$ 25,066.78
	Palantir Administrative Reserve ICS Account	\$ 13,443,708.02		\$ 13,443,708.02
	MongoDB Administrative Reserve Account	\$ 25,066.78		\$ 25,066.78
	MongoDB Administrative Reserve ICS Account	\$ 386,829.68		\$ 386,829.68

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 4/1/2023 to 6/30/2023

	Airbnb Administrative Reserve Account	\$ 25,066.78	\$ 25,066.78
	Airbnb Administrative Reserve ICS Account (New)	\$ 95,993.70	\$ 95,993.70
	Evernote Reserve Account	\$ 25,066.78	\$ 25,066.78
	Evernote Reserve ICS Account (New)	\$ 73,390.40	\$ 73,390.40
Line 14b	Investments		
Line 14c	Other Assets or Uncleared Funds		
	<b>Total Ending Balance of Fund - Not Assets</b>		\$ 24,290,046.95

OTHER SUPPLEMENTAL INFORMATION:

		Detail	Subtotal	Grand Total
<b>Line 15</b>	<b>Report of Items NOT To Be Paid by the Fund:</b>			
	<b>Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>			N/A
Line 15a	Plan Development Expenses Not Paid by the Fund:			
	1. Fees			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses Not Paid by the Fund			
Line 15b	Plan Implementation Expenses Not Paid by the Fund:			
	1. Fees:			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan.....			
	Claimant Identification.....			
	Claims Processing.....			
	Web Site Maintenance/Call Center.....			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. FAIR Reporting Expenses			
	Total Plan Implementation Expenses Not Paid by the Fund			
Line 15c	Tax Administrator Fees & Bonds Not Paid by the Fund			
	<b>Total Disbursements for Plan Administration Expenses Not Paid by the Fund</b>			
<b>Line 16</b>	<b>Disbursements to Court/Other Not Paid by the Fund:</b>			N/A
Line 16a	Investment Expenses/CRIS Fees			
Line 16b	Federal Tax Payments			
	<b>Total Disbursements to Court/Other Not Paid by the Fund:</b>			
<b>Line 17</b>	<b>DC &amp; State Tax Payments</b>			
<b>Line 18</b>	<b>No. of Claims:</b>			
Line 18a	# of Claims Received This Reporting Period.....			
Line 18b	# of Claimants Since Inception of Fund.....			
<b>Line 19</b>	<b>No. of Claimants/Investors:</b>			



Receivership; Civil Court Docket No. 3:16-cv-01386-EMC  
Reporting Period 4/1/2023 to 6/30/2023

<i>Line 19a</i>	# of Claimants/Investors Paid This Reporting Period.....
<i>Line 19b</i>	# of Claimants/Investors Paid Since Inception of Fund.....
	<p>Receiver:</p> <p>By: <i>/s/ Kathy Bazoian Phelps</i> (signature)</p> <p>_____</p> <p>Kathy Bazoian Phelps</p> <p>_____</p> <p>Receiver</p> <p>Date: 7. .23</p>

# EXHIBIT 3

**Exhibit 3**

***SEC v. Bivona et al., No. 3:16-CV-01386-EMC***

**Successor Receiver Prior Fee Applications**

**Order on First Interim Motion (Dkt. 485):** Receiver fees of \$46,287.00 and costs of \$3,033.33 and Diamond McCarthy fees of \$16,802.80 and costs of \$524.67 (fees subject to 20% hold back).

**Order on Second Interim Motion (Dkt. No. 515):** Receiver fees of \$85,735.50 and costs of \$185.93 and Diamond McCarthy fees of \$30,625.00 and costs of \$1,924.16 (fees subject to 20% hold back).

**Order on Third Interim Motion (Dkt. No. 537):** Receiver fees in the amount of \$40,349.40 and costs of \$542.73 and Diamond McCarthy fees of \$4,450.90 and costs of \$131.35 (fees subject to 20% hold back).

**Order on Fourth Interim Motion (Dkt. No. 567):** Receiver fees in the amount of \$50,187.30 and costs of \$27.00 and Diamond McCarthy fees of \$12,550.00 (fees subject to 30% holdback); Miller Kaplan fees of \$42,465.60 and Schinner fees of \$5,044.96 (fees subject to 20% holdback).

**Order on the Fifth Interim Motion (Dkt. No. 615):** Receiver fees in the amount of \$75,058.00 and costs of \$145.00 and Diamond McCarthy fees of \$32,454.00 (fees subject to 20% holdback); Schinner fees of \$2,450.00 and costs of \$622.06.

**Order on Sixth Interim Motion (Dkt. No. 621):** Receiver fees in the amount of \$43,904.00 and costs of \$388.39, Diamond McCarthy fees of \$29,398.90 and costs of \$919.78, and Miller Kaplan fees of \$16,399.20 (subject to 20% holdback); Schinner fees of \$900.

**Order on Seventh Interim Motion (Dkt. No. 633):** Receiver fees in the amount of \$83,732.00 and costs of \$388.39 (fees subject to 20% holdback), Diamond McCarthy fees of \$82,500.50 and costs of \$508.60, Miller Kaplan fees of \$25,996.40; and Schinner fees of \$11,371.50. The Order further authorized the payment of the following holdbacks: \$26,511.32 for Diamond McCarthy, \$11,772.96 for Miller Kaplan, and \$3,761.24 for Schinner.

**Order on Eighth Interim Motion (Dkt. No. 636):** Receiver fees in the amount of \$32,571.50 (subject to 20% holdback), Diamond McCarthy fees of \$43,559.20 and costs of \$9.60, and Miller Kaplan fees of \$3,555.60 and costs of \$99.30.

**Order on Ninth Interim Motion (Dkt. No. 651):** Receiver fees in the amount of \$57,434.00 (subject to 20% holdback) and costs of \$222.18, Diamond McCarthy fees of \$84,931 and costs of \$39.59, Miller Kaplan fees of \$6,720.60 and costs of \$50.00, Schinner fees of \$828.00.

**Order on Tenth Interim Motion (Dkt. No. 662):** Receiver fees in the amount of \$19,596.00 (subject to 20% holdback) and costs of \$55.50, Diamond McCarthy fees of \$4,149.60 and costs of \$178.39, Raines Feldman fees of \$12,090.00, and Miller Kaplan fees of \$6,227.40.

**Order on Eleventh Interim Motion (Dkt. No. 669):** Receiver fees in the amount of \$29,155.50 (subject to 20% holdback), Raines Feldman fees of \$37,894.65, Miller Kaplan fees of \$1,332.00, and Schinner fees of \$3,053.25.

**Order on Twelfth Interim Motion (Dkt. No. 677):** Receiver fees in the amount of \$18,228.00 (subject to 20% holdback), Raines Feldman fees of \$18,335.50 and costs of \$46.42, Miller Kaplan fees of \$1,453.20, and Schinner fees of \$1,707.75.

**Order on Thirteenth Interim Motion (Dkt. No. 680):** Receiver fees in the amount of \$6,789.00 (subject to 20% holdback), Raines Feldman fees of \$15,345.00 and costs of \$48.40, and Miller Kaplan fees of \$2,264.00 and costs of \$50.00.

**Order on Fourteenth Interim Motion (Dkt. No. 683):** Receiver fees in the amount of \$12,183.00 (subject to 20% holdback), Raines Feldman fees of \$22,659.00 and costs of \$24.90, and Miller Kaplan fees of \$1,918.40.

**Order on Fifteenth Interim Motion (Dkt. No. 689):** Receiver fees in the amount of \$12,694.50 (subject to 20% holdback), Raines Feldman fees of \$18,463.20, and Miller Kaplan fees of \$710.40.

**Order on Sixteenth Interim Motion (Dkt. No. 694):** Receiver fees in the amount of \$11,160.00 (subject to 20% holdback) and costs of \$110.00, Raines Feldman fees of \$16,732.17, and Miller Kaplan fees of \$1,631.00.

**Order on Seventeenth Interim Motion (Dkt. No. 702):** Receiver fees in the amount of \$7,068.00 (subject to 20% holdback) and Raines Feldman fees of \$5,068.80.

# EXHIBIT 4



RAINESFELDMAN

1800 Avenue of the Stars, 12th Floor  
Los Angeles, CA 90067

Federal Tax ID: 20-4515337

**Invoice Date: 5/5/2023**  
**Invoice Number: 107416**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
1800 Avenue of the Stars  
12th Floor  
Los Angeles, CA 90067

**4665-002 / SRA Management Receiver (Receiver file)**

Professional Services			Hours	Rate	Amount	
04/05/2023	KBP	B110	Draft email to M. Katz regarding Cilano claim, review status	0.20	465.00	93.00
04/05/2023	KBP	B110	Draft email to accountants regarding 2022 tax returns	0.10	465.00	46.50
04/06/2023	KBP	B110	Review email from SEC regarding status regarding Cilano claim	0.10	465.00	46.50
04/06/2023	KBP	B110	Draft email to counsel regarding status report	0.10	465.00	46.50
04/06/2023	KBP	B110	Download and review bank statements, new ICS accounts	1.10	465.00	511.50
04/07/2023	KBP	B110	Review email from accountant regarding tax return	0.10	465.00	46.50
04/12/2023	KBP	B110	Review and respond to email from M. Katz regarding Cilano claim	0.20	465.00	93.00
04/12/2023	KBP	B110	Review and revise Status Report for Q1 2023	0.50	465.00	232.50
04/12/2023	KBP	B110	Review and revise status report, cash disbursement and SFAR exhibits	1.90	465.00	883.50
04/13/2023	KBP	B110	Review and respond to emails regarding uncashed final check from Wells Fargo account	0.20	465.00	93.00
04/13/2023	KBP	B110	Revise status report and send email to SEC regarding report and SFAR	0.50	465.00	232.50
04/13/2023	KBP	B110	Additional emails regarding final Sabrin payment, dismissal and status report	0.30	465.00	139.50
04/14/2023	KBP	B110	Review and respond to email from accountants regarding tax return, inquiry regarding Evernote fee	0.30	465.00	139.50
04/17/2023	KBP	B110	Begin revision of motion regarding distribution plan modification	0.50	465.00	232.50
04/18/2023	KBP	B110	Email with SEC, revise draft of motion to modify distribution	0.50	465.00	232.50
04/18/2023	KBP	B110	Review invoices and declaration regarding professional fees	0.40	465.00	186.00
04/21/2023	KBP	B110	Meeting with SEC regarding Motion to Modify Distribution Plan	0.50	465.00	232.50

04/21/2023	KBP	B110	Review EAC consent order, evaluate pre-IPO shares owed to EAC	0.30	465.00	139.50
04/25/2023	KBP	B110	Review email regarding raised interest rates on account	0.10	465.00	46.50
04/26/2023	KBP	B110	Draft email to SEC regarding Cilano briefing schedule	0.10	465.00	46.50
04/27/2023	KBP	B110	Revise motion to modify distribution plan, exhibits	2.10	465.00	976.50
					<b>B110</b>	<b>4,696.50</b>
04/04/2023	KBP	B120	Draft email to E. Zusman re payment of Sabrin settlement	0.10	465.00	46.50
04/05/2023	KBP	B120	Draft emails regarding ZocDoc and Lookout shares	0.30	465.00	139.50
04/06/2023	KBP	B120	Review ZocDoc transfer agreement, email to counsel	0.40	465.00	186.00
04/06/2023	KBP	B120	Review Lookout information, call with counsel, draft email to obligor on forward contract	0.40	465.00	186.00
04/11/2023	KBP	B120	Review ZocDoc agreement, memo from counsel, and draft email to F. Koenen regarding finalizing ZocDoc transfer agreement	1.20	465.00	558.00
04/11/2023	KBP	B120	Review Lookout memo, documentation, draft email to F. Koenen regarding transfer of shares	1.50	465.00	697.50
04/13/2023	KBP	B120	Send follow up email regarding Sabrin payment	0.10	465.00	46.50
04/13/2023	KBP	B120	Review comments from counsel regarding ZocDoc agreement, revise agreement, draft email to ZocDoc counsel	2.00	465.00	930.00
04/13/2023	KBP	B120	Review and revise stipulation to dismiss and status report regarding Sabrin	0.30	465.00	139.50
04/14/2023	KBP	B120	Review emails and finalize stipulation to dismiss Sabrin case	0.20	465.00	93.00
04/17/2023	KBP	B120	Review order dismissing Sabrin case	0.10	465.00	46.50
04/17/2023	KBP	B120	Analysis of Lookout transactions	0.80	465.00	372.00
04/17/2023	KBP	B120	Draft email to Lookout counsel regarding transfer on cap table	0.20	465.00	93.00
04/17/2023	KBP	B120	Draft memo and pull together facts regarding Lookout transaction	0.50	465.00	232.50
04/17/2023	KBP	B120	Review and revise Lookout Motion, emails with counsel and the SEC regarding Lookout, EAC	1.10	465.00	511.50
04/17/2023	KBP	B120	Draft email to P. Schrage regarding Lookout transaction, EAC	0.20	465.00	93.00
04/18/2023	KBP	B120	Review and respond to emails regarding Lookout transaction	0.20	465.00	93.00
04/18/2023	KBP	B120	Review email from A. Walsh regarding ZocDoc agreement	0.10	465.00	46.50
04/26/2023	KBP	B120	Telephone conf with J. Wyatt regarding Lookout shares	0.40	465.00	186.00
04/26/2023	KBP	B120	Review documents, memo from counsel, draft email regarding transfer of Lookout shares on cap table	1.70	465.00	790.50
04/27/2023	KBP	B120	Review ZocDoc revised documents	0.40	465.00	186.00
					<b>B120</b>	<b>5,673.00</b>

Sub-total Fees:

\$10,369.50



**Rate Summary**

Kathy B. Phelps	22.30 hours at \$465.00/hr	\$10,369.50
Total hours:	22.30	\$10,369.50

**Payments**

03/06/2023 Payment	Wire: Receivers Acct Estate	8,928.00
03/06/2023 Payment	Wire: Receivers Acct Estate	110.00
Sub-total Payments:		\$9,038.00

Total Current Billing:	\$10,369.50
Previous Balance Due:	\$34,334.00
Total Payments:	(\$9,038.00)
<b>Total Now Due:</b>	<b>\$35,665.50</b>

**Payment Terms: Due Upon Receipt**

**Payment Options:**

**Pay Online:**

**Bill.com:** <https://app.bill.com/p/rainesfeldmanllp>

**Credit Card:** <https://secure.lawpay.com/pages/rainesfeldman/operating>

**Pay by Wire/ACH:**

Citibank, N.A.  
 Routing No.: 321171184  
 Account No.: 208211219  
 BIC/Swift Code: CITI US 33  
 Account Title: Raines Feldman LLP  
 Client Name & Invoice #

**Pay by Check via Mail:**

Raines Feldman LLP LA Operating  
 File 2549  
 1801 W Olympic Blvd  
 Pasadena, CA 91199-2549



RAINESFELDMAN

1800 Avenue of the Stars, 12th Floor  
 Los Angeles, CA 90067  
 (310) 440-4100  
 www.raineslaw.com  
 Federal Tax ID: 20-4515337

**Invoice Date: 6/20/2023**  
**Invoice Number: 108984**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
 1800 Avenue of the Stars  
 12th Floor  
 Los Angeles, CA 90067

**4665-002 / SRA Management Receiver (Receiver file)**

Professional Services				Hours	Rate	Amount
05/01/2023	KBP	B110	Revise motion to modify plan, review exhibits, draft memo to SEC regarding motion	1.20	465.00	558.00
05/23/2023	KBP	B110	Review and forward inquiry from investor regarding status	0.10	465.00	46.50
05/23/2023	KBP	B110	Review status regarding Lookout, draft email to J. Wyatt regarding sale of shares	0.20	465.00	93.00
05/23/2023	KBP	B110	Draft email to SEC regarding follow up on Status report, motion and fee application	0.10	465.00	46.50
05/26/2023	KBP	B110	Review email from SEC regarding motion to modify distribution plan	0.10	465.00	46.50
05/26/2023	KBP	B110	Review email from SEC and prepare status report and fee motion for filing.	0.40	465.00	186.00
05/30/2023	KBP	B110	Review and respond to email from P. Schrage regarding motion to modify distribution plan	0.30	465.00	139.50
05/30/2023	KBP	B110	Telephone conference with Investor 92 re status of case, distributions	0.40	465.00	186.00
05/30/2023	KBP	B110	Review and respond to numerous emails from investors regarding status of the case	0.60	465.00	279.00
05/31/2023	KBP	B110	Review and respond to emails from SEC re motion to modify distribution plan	0.10	465.00	46.50
05/31/2023	KBP	B110	Draft email to IAC regarding meeting	0.20	465.00	93.00
				<b>B110</b>		<b>1,720.50</b>
05/01/2023	KBP	B120	Review revised ZocDoc agreement, memo from C. McPhaul regarding voting agreement	0.90	465.00	418.50
05/01/2023	KBP	B120	Draft email to F. Koenen regarding ZocDoc agreements	0.10	465.00	46.50
05/02/2023	KBP	B120	Review email from F. Koenen regarding ZocDoc documents regarding voting agreement	0.40	465.00	186.00
05/02/2023	KBP	B120	Review and respond to emails from F Koenen and A. Walsh regarding agreements regarding ZocDoc	0.40	465.00	186.00

05/23/2023	KBP	B120	Draft follow up email regarding Zocdoc transaction	0.10	465.00	46.50
05/23/2023	KBP	B120	Review and respond to email inquiry regarding Addepar stock	0.20	465.00	93.00
05/23/2023	KBP	B120	Review email from F. Koenen regarding status of ZocDoc paperwork	0.10	465.00	46.50
05/26/2023	KBP	B120	Emails with EquityZen regarding Addepar	0.20	465.00	93.00
05/31/2023	KBP	B120	Meeting with EquityZen regarding Addepar	0.40	465.00	186.00
05/31/2023	KBP	B120	Review and respond to email from investor regarding level of reserves	0.10	465.00	46.50
05/31/2023	KBP	B120	Review offer from EquityZen regarding Addepar	0.20	465.00	93.00
05/31/2023	KBP	B120	Draft email to IAC regarding motion regarding plan, Addepar	0.20	465.00	93.00
05/31/2023	KBP	B120	Review memos, research Addepar, respond to EquityZen	0.50	465.00	232.50

**B120** 1,767.00

Sub-total Fees: \$3,487.50

**Rate Summary**

Kathy B. Phelps	7.50 hours at \$465.00/hr	<u>\$3,487.50</u>
Total hours:	7.50	\$3,487.50

**Payments**

06/05/2023 Payment	Wire: RECEIVERS ACCT ESTATE OF SADDL	<u>5,654.40</u>
Sub-total Payments:		\$5,654.40

Total Current Billing:	\$3,487.50
Previous Balance Due:	\$35,665.50
Total Payments:	<u>(\$5,654.40)</u>
<b>Total Now Due:</b>	<b>\$33,498.60</b>

**Payment Terms: Due Upon Receipt**

**Payment Options:**

**Pay Online:**

**Bill.com:** <https://app.bill.com/p/rainesfeldmanllp>

**Credit Card:** <https://secure.lawpay.com/pages/rainesfeldman/operating>

**Pay by Wire/ACH:**

Citibank, N.A.

Routing No.: 321171184

Account No.: 208211219

BIC/Swift Code: CITI US 33

Account Title: Raines Feldman LLP

Client Name & Invoice #

**Pay by Check via Mail:**

Raines Feldman LLP LA Operating

File 2549

1801 W Olympic Blvd

Pasadena, CA 91199-2549



1800 Avenue of the Stars, 12th Floor  
 Los Angeles, CA 90067  
 (310) 440-4100  
 www.raineslaw.com  
 Federal Tax ID: 20-4515337

**Invoice Date: 7/10/2023**  
**Invoice Number: 109279**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
 1800 Avenue of the Stars  
 12th Floor  
 Los Angeles, CA 90067

**4665-002 / SRA Management Receiver (Receiver file)**

<b>Professional Services</b>				<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
06/02/2023	KBP	B110	Meeting with SEC regarding motion to modify distribution plan	0.40	465.00	186.00
06/02/2023	KBP	B110	Prepare wire instructions for payment of fees	0.50	465.00	232.50
06/05/2023	KBP	B110	Review and forward bank statements	0.80	465.00	372.00
06/05/2023	KBP	B110	Meeting with IAC regarding motion to modify distribution plan	0.50	465.00	232.50
06/05/2023	KBP	B110	Telephone conf with C. Pope regarding distribution issues	0.50	465.00	232.50
06/05/2023	KBP	B110	Telephone conf with C. Pope regarding distribution issues	0.50	465.00	232.50
06/05/2023	KBP	B110	Review notes of meeting with IAC regarding distribution	0.20	465.00	93.00
06/05/2023	KBP	B110	Review motion to modify distribution, exhibits	0.90	465.00	418.50
06/05/2023	KBP	B110	Review and respond to emails regarding exhibits for motion to modify distribution plan	0.40	465.00	186.00
06/05/2023	KBP	B110	Review emails regarding notifications to investors regarding status of case, orders	0.20	465.00	93.00
06/07/2023	KBP	B110	Review and respond to email from investor regarding distribution issues	0.10	465.00	46.50
06/09/2023	KBP	B110	Review new bank statements, draft memo regarding updating motion papers	0.30	465.00	139.50
06/12/2023	KBP	B110	Review and respond to investor inquiry regarding status of case	0.20	465.00	93.00
06/12/2023	KBP	B110	Draft memo regarding tax returns	0.10	465.00	46.50
06/22/2023	KBP	B110	Draft email to N. Sanchez re tax returns	0.10	465.00	46.50
06/28/2023	KBP	B110	Review and revise motion regarding motion to modify distribution plan	2.30	465.00	1,069.50
06/28/2023	KBP	B110	Draft memo to J. Damasco regarding declaration, motion to modify distribution plan	0.10	465.00	46.50

06/29/2023	KBP	B110	Review email regarding Practice Fusion shares, revise exhibits to motion regarding distribution	0.30	465.00	139.50
06/30/2023	KBP	B110	Finalize exhibits for motion regarding distribution plan, emails to interested parties regarding draft motion	1.00	465.00	465.00
				<b>B110</b>		<b>4,371.00</b>
06/01/2023	KBP	B120	Review memo and analysis regarding Addepar shares, market and offer	0.50	465.00	232.50
06/01/2023	KBP	B120	Review email from EquityZen regarding offer for Addepar shares	0.10	465.00	46.50
06/12/2023	KBP	B120	Review ZocDoc transaction documents, memos to and from counsel	0.70	465.00	325.50
06/12/2023	KBP	B120	Review emails regarding ZocDoc transfer agreement, wire of fee	0.20	465.00	93.00
06/22/2023	KBP	B120	Review and respond to email from D. Feldman regarding Lookout shares	0.50	465.00	232.50
06/22/2023	KBP	B120	Review and respond to emails regarding Lookout shares	0.20	465.00	93.00
06/23/2023	KBP	B120	Draft email regarding valuation of Lookout shares	0.20	465.00	93.00
06/23/2023	KBP	B120	Review and respond to email regarding share price of Lookout	0.20	465.00	93.00
06/26/2023	KBP	B120	Review and sign ZocDoc transfer documents	0.40	465.00	186.00
06/26/2023	KBP	B120	Review and respond to email regarding Lookout shares, review directed action agreement	0.40	465.00	186.00
06/27/2023	KBP	B120	Review email regarding Lookout motion	0.10	465.00	46.50
06/27/2023	KBP	B120	Review email regarding value of Lookout shares	0.10	465.00	46.50
				<b>B120</b>		<b>1,674.00</b>
				Sub-total Fees:		\$6,045.00

**Rate Summary**

Kathy B. Phelps	13.00	hours at \$465.00/hr	\$6,045.00
Total hours:	13.00		\$6,045.00

Total Current Billing:	\$6,045.00
Previous Balance Due:	\$33,498.60
<b>Total Now Due:</b>	<b>\$39,543.60</b>

**Payment Terms: Due Upon Receipt**

**Payment Options:**

**Pay Online:**

**Bill.com:** <https://app.bill.com/p/rainesfeldmanllp>

**Credit Card:** <https://secure.lawpay.com/pages/rainesfeldman/operating>

**Pay by Wire/ACH:**

Citibank, N.A.

Routing No.: 321171184

Account No.: 208211219

BIC/Swift Code: CITI US 33

Account Title: Raines Feldman LLP

Client Name & Invoice #

**Pay by Check via Mail:**

Raines Feldman LLP LA Operating

File 2549

1801 W Olympic Blvd

Pasadena, CA 91199-2549

# EXHIBIT 5





RAINESFELDMAN

1800 Avenue of the Stars, 12th Floor  
Los Angeles, CA 90067

Federal Tax ID: 20-4515337

**Invoice Date: 5/5/2023**  
**Invoice Number: 107415**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
1800 Avenue of the Stars  
12th Floor  
Los Angeles, CA 90067

**4665-001 / SRA Management Receiver (Attorney file)**

Professional Services				Hours	Rate	Amount
04/05/2023	CRM	B110	Emails regarding final Sabrin settlement payment	0.10	396.00	39.60
04/06/2023	CRM	B110	Emails regarding various issues including Cilano claim and tax returns	0.30	396.00	118.80
04/07/2023	CRM	B110	Saved March fund statements to file; updated cash disbursement report	2.00	396.00	792.00
04/11/2023	CRM	B110	Prepared SFAR, cash disbursements report, and draft status report for 1Q 2023	5.60	396.00	2,217.60
04/12/2023	CRM	B110	Emails between K. Phelps and SEC regarding Cilano claim	0.10	396.00	39.60
04/13/2023	CRM	B110	Reviewed email from K. Phelps regarding bank account; updated cash disbursement report and SFAR	0.60	396.00	237.60
04/13/2023	CRM	B110	Emails regarding Wells Fargo account	0.10	396.00	39.60
04/13/2023	CRM	B110	Emails regarding Sabrin payment	0.20	396.00	79.20
04/13/2023	CRM	B110	Reviewed email from K. Phelps sending Q1 2023 status report to SEC	0.10	396.00	39.60
04/13/2023	CRM	B110	Drafted stipulation to dismiss Sabrin case and related status report	1.60	396.00	633.60
04/14/2023	CRM	B110	Reviewed email from K. Phelps; drafted proposed order regarding stipulation of dismissal of Sabrin matter; revised Sabrin status report; sent to K. Phelps; researched local rules	0.70	396.00	277.20
04/14/2023	CRM	B110	Emails regarding stipulation to dismiss the Sabrin matter	0.10	396.00	39.60
04/14/2023	CRM	B110	Emails regarding SEC objection to Cilano claim	0.10	396.00	39.60
04/14/2023	CRM	B110	Emails regarding tax return	0.10	396.00	39.60
04/17/2023	CRM	B110	Reviewed email from K. Phelps to SEC regarding Cilano claim	0.10	396.00	39.60
04/18/2023	CRM	B110	Emails from SEC regarding EAC and Cilano	0.10	396.00	39.60

04/21/2023	CRM	B110	Reviewed prior correspondence regarding Cilano claim and SEC objection and related order	1.10	396.00	435.60
				<b>B110</b>		<b>5,148.00</b>
04/06/2023	CRM	B130	Conference call with K. Phelps regarding disposition of Lookout shares	0.20	396.00	79.20
04/06/2023	CRM	B130	Prepared motion regarding disposition of Lookout shares	4.00	396.00	1,584.00
04/06/2023	CRM	B130	Reviewed ZocDoc transfer agreement	1.30	396.00	514.80
04/07/2023	CRM	B130	Reviewed ZocDoc transfer agreement; made changes in redline; sent to K. Phelps with comments	1.60	396.00	633.60
04/10/2023	CRM	B130	Reviewed prior correspondence and related documents regarding the disposition of Lookout shares; drafted memo and next steps recommendation and sent to K. Phelps	5.00	396.00	1,980.00
04/12/2023	CRM	B130	Reviewed email from K. Phelps regarding Lookout	0.10	396.00	39.60
04/13/2023	CRM	B130	Reviewed email from F. Koenen regarding Lookout	0.10	396.00	39.60
04/13/2023	CRM	B130	Reviewed email from K. Phelps to A. Walsh representing ZocDoc regarding transfer agreement	0.10	396.00	39.60
04/17/2023	CRM	B130	Reviewed and responded to email from K. Phelps regarding Lookout shares disposition; drafted motion; sent to K. Phelps	2.70	396.00	1,069.20
04/17/2023	CRM	B130	Reviewed email from K. Phelps; reviewed prior communications regarding Lookout; responded to K. Phelps	0.70	396.00	277.20
04/18/2023	CRM	B130	Email from A. Walsh representing ZocDoc	0.10	396.00	39.60
04/24/2023	CRM	B130	Accepted invitation from K. Phelps regarding meeting on Lookout shares disposition	0.10	396.00	39.60
04/25/2023	CRM	B130	Reviewed email from A. Walsh representing ZocDoc regarding transfer agreement and attached redline of agreement	0.10	396.00	39.60
04/26/2023	CRM	B130	Conference call with K. Phelps and counsel for Lookout regarding disposition of Lookout shares	0.30	396.00	118.80
04/26/2023	CRM	B130	Drafted memo regarding conference call with K. Phelps and counsel for Lookout regarding disposition of Lookout shares	0.90	396.00	356.40
04/27/2023	CRM	B130	Reviewed email from K. Phelps to Lookout counsel regarding disposition of Lookout shares	0.10	396.00	39.60
04/28/2023	CRM	B130	Reviewed and responded to email from K. Phelps regarding ZocDoc transfer agreement	0.10	396.00	39.60
				<b>B130</b>		<b>6,930.00</b>
04/12/2023	CRM	B160	Prepared fee application, reviewed invoices, drafted affidavit	2.60	0.00	No Charge
04/13/2023	CRM	B160	Drafted fee application	1.20	0.00	No Charge
04/17/2023	CRM	B160	Emails regarding Miller invoice	0.10	0.00	No Charge
04/18/2023	CRM	B160	Finalized fee application; emails regarding fee application; sent to K. Phelps	1.30	0.00	No Charge
04/19/2023	CRM	B160	Reviewed emails from K. Phelps regarding; forwarded fee application documents to H. Choi to prepare to send to SEC	0.20	0.00	No Charge
				<b>B160</b>		<b>0.00</b>

04/21/2023	CRM	B320	Conference call with K. Phelps and SEC regarding motion to modify the distribution plan	0.50	396.00	198.00
04/21/2023	CRM	B320	Drafted memo regarding conference call with SEC regarding motion to modify distribution plan and sent to K. Phelps	0.80	396.00	316.80
04/21/2023	CRM	B320	Reviewed email from K. Phelps and sent her the exhibits to the draft motion to modify the distribution plan	0.10	396.00	39.60
04/28/2023	CRM	B320	Reviewed and responded to email from K. Phelps requesting changes to the draft motion to modify the distribution plan and supporting exhibits, proposed order, and notice; revised the documents and sent to K. Phelps	4.70	396.00	1,861.20
					<b>B320</b>	<b>2,415.60</b>
Sub-total Fees:						\$14,493.60

#### Rate Summary

Christina McPhaul	5.40 hours at \$0.00/hr	\$0.00
Christina McPhaul	36.60 hours at \$396.00/hr	\$14,493.60
Total hours:	42.00	\$14,493.60

Total Current Billing:	\$14,493.60
Previous Balance Due:	\$5,068.80
<b>Total Now Due:</b>	<b>\$19,562.40</b>

#### Payment Terms: Due Upon Receipt

#### Payment Options:

##### Pay Online:

Bill.com: <https://app.bill.com/p/rainesfeldmanllp>

Credit Card: <https://secure.lawpay.com/pages/rainesfeldman/operating>

##### Pay by Wire/ACH:

Citibank, N.A.  
Routing No.: 321171184  
Account No.: 208211219  
BIC/Swift Code: CITI US 33  
Account Title: Raines Feldman LLP  
Client Name & Invoice #

##### Pay by Check via Mail:

Raines Feldman LLP LA Operating  
File 2549  
1801 W Olympic Blvd  
Pasadena, CA 91199-2549



1800 Avenue of the Stars, 12th Floor  
 Los Angeles, CA 90067  
 (310) 440-4100  
 www.raineslaw.com  
 Federal Tax ID: 20-4515337

**Invoice Date: 6/20/2023**  
**Invoice Number: 109600**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
 1800 Avenue of the Stars  
 12th Floor  
 Los Angeles, CA 90067

**4665-001 / SRA Management Receiver (Attorney file)**

<b>Professional Services</b>				<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
05/23/2023	CRM	B110	Emails regarding investor email address	0.10	396.00	39.60
05/25/2023	CRM	B110	Preparing and finalizing status report for 1Q 2023 and related emails	0.40	396.00	158.40
05/30/2023	CRM	B110	Reviewed emails from investors and replies by K. Phelps; searched for email addresses for investors with bad email addresses (returned as undeliverable)	1.40	396.00	554.40
05/31/2023	CRM	B110	Reviewed email from investor and reply from K. Phelps.	0.10	396.00	39.60
				<b>B110</b>		<b>792.00</b>
05/01/2023	CRM	B130	Reviewed revised ZocDoc transfer agreement and exhibits; sent email to K. Phelps	1.20	396.00	475.20
05/01/2023	CRM	B130	Reviewed email from K. Phelps to F. Koenen regarding ZocDoc transfer agreement	0.10	396.00	39.60
05/02/2023	CRM	B130	Emails regarding ZocDoc transfer agreement	0.20	396.00	79.20
05/23/2023	CRM	B130	Emails regarding disposition of shares of Addepar, Lookout, and Zocdoc	0.30	396.00	118.80
05/25/2023	CRM	B130	Reviewed Addepar stock certificates and letters between Addepar and K. Phelps re stock	0.20	396.00	79.20
05/26/2023	CRM	B130	Emails regarding setting up conference call regarding disposition of Addepar shares	0.10	396.00	39.60
05/31/2023	CRM	B130	Conference call with K. Phelps and broker regarding Addepar shares	0.30	396.00	118.80
05/31/2023	CRM	B130	Reviewed documents and drafted email with Addepar shares information and sent to K. Phelps	0.40	396.00	158.40
05/31/2023	CRM	B130	Conducted research on EquityZen brokerage firm and prepared for conference call regarding Addepar shares	0.80	396.00	316.80
05/31/2023	CRM	B130	Drafted memo regarding conference call with EquityZen regarding Addepar shares and sent to K. Phelps	1.20	396.00	475.20
				<b>B130</b>		<b>1,900.80</b>

05/26/2023	CRM	B160	Preparing and finalizing Q1 2023 fee application and related emails (No Charge)	0.20	0.00	No Charge
				<b>B160</b>		<b>0.00</b>
05/01/2023	CRM	B320	Reviewed email from K. Phelps to M. Katz and P. Schrage of the SEC regarding motion to modify the Plan	0.10	396.00	39.60
05/26/2023	CRM	B320	Reviewed email from P. Schrage of SEC regarding questions on the draft motion to modify distribution plan	0.10	396.00	39.60
05/30/2023	CRM	B320	Reviewed email from K. Phelps regarding SEC questions regarding draft motion to modify the distribution plan	0.10	396.00	39.60
				<b>B320</b>		<b>118.80</b>
				Sub-total Fees:		\$2,811.60

**Rate Summary**

Christina McPhaul	0.20 hours at \$0.00/hr	\$0.00
Christina McPhaul	7.10 hours at \$396.00/hr	\$2,811.60
Total hours:	7.30	\$2,811.60

**Payments**

06/05/2023	Payment	Wire: RECEIVERS ACCT ESTATE OF SADDL	5,068.80
Sub-total Payments:			\$5,068.80

Total Current Billing:	\$2,811.60
Previous Balance Due:	\$19,562.40
Total Payments:	(\$5,068.80)
<b>Total Now Due:</b>	<b>\$17,305.20</b>

**Payment Terms: Due Upon Receipt**

**Payment Options:**

**Pay Online:**

**Bill.com:** <https://app.bill.com/p/rainesfeldmanllp>

**Credit Card:** <https://secure.lawpay.com/pages/rainesfeldman/operating>

**Pay by Wire/ACH:**

Citibank, N.A.

Routing No.: 321171184

Account No.: 208211219

BIC/Swift Code: CITI US 33

Account Title: Raines Feldman LLP

Client Name & Invoice #

**Pay by Check via Mail:**

Raines Feldman LLP LA Operating

File 2549

1801 W Olympic Blvd

Pasadena, CA 91199-2549



1800 Avenue of the Stars, 12th Floor  
 Los Angeles, CA 90067  
 (310) 440-4100  
 www.raineslaw.com  
 Federal Tax ID: 20-4515337

**Invoice Date: 7/10/2023**  
**Invoice Number: 109278**

Kathy Bazoian Phelps - SRA Management Assoc LLC  
 1800 Avenue of the Stars  
 12th Floor  
 Los Angeles, CA 90067

**4665-001 / SRA Management Receiver (Attorney file)**

<b>Professional Services</b>				<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
06/01/2023	CRM	B110	Emails regarding meeting with IAC	0.10	396.00	39.60
06/02/2023	CRM	B110	Prepared for call with SEC	0.60	396.00	237.60
06/05/2023	CRM	B110	Saved April and May 2023 fund statements to file	0.00	396.00	No Charge
06/05/2023	CRM	B110	Emails regarding updating receivership website	0.10	396.00	39.60
06/06/2023	CRM	B110	Prepared cash disbursements spreadsheet for second quarter 2023	3.10	396.00	1,227.60
06/07/2023	CRM	B110	Reviewed email from investor and reply from K. Phelps	0.10	396.00	39.60
06/12/2023	CRM	B110	Prepared cash disbursements report for 1Q 2023	0.80	396.00	316.80
06/13/2023	CRM	B110	Emails regarding filing tax returns	0.10	396.00	39.60
06/23/2023	CRM	B110	Reviewed emails regarding tax return	0.10	396.00	39.60
06/29/2023	CRM	B110	Reviewed emails between K. Phelps and E. Chen of the SEC regarding investor's shares	0.10	396.00	39.60
				<b>B110</b>		<b>2,019.60</b>
06/01/2023	CRM	B130	Emails re disposition of Addepar shares	0.10	396.00	39.60
06/02/2023	CRM	B130	Conference call with K. Phelps and SEC regarding disposition of remaining shares held by the estate	0.20	396.00	79.20
06/02/2023	CRM	B130	Drafted memo regarding conference call with K. Phelps and SEC regarding disposition of remaining shares held by the estate	0.50	396.00	198.00
06/12/2023	CRM	B130	Reviewed emails between K. Phelps and F. Koenen regarding transfer agreement	0.10	396.00	39.60
06/13/2023	CRM	B130	Reviewed email from F. Koenen regarding ZocDoc	0.10	396.00	39.60

06/22/2023	CRM	B130	Reviewed prior communication regarding the disposition of Lookout shares; drafted email to K. Phelps; reviewed emails between K. Phelps and confidential counterparty	1.00	396.00	396.00
06/23/2023	CRM	B130	Reviewed emails between K. Phelps and confidential counterparty regarding disposition of Lookout shares	0.20	396.00	79.20
06/23/2023	CRM	B130	Reviewed email from K. Phelps regarding disposition of Addepar and Lookout shares	0.10	396.00	39.60
06/26/2023	CRM	B130	Reviewed prior communications and notes regarding disposition of Lookout shares; sent K. Phelps directed action agreement for confidential counterparty to sign	0.50	396.00	198.00
06/27/2023	CRM	B130	Reviewed emails regarding disposition of Lookout shares	0.10	396.00	39.60
06/27/2023	CRM	B130	Drafted motion for order directing Lookout to transfer shares and supporting documents (notice, proposed order, declaration)	2.90	396.00	1,148.40
06/28/2023	CRM	B130	Drafted motion for order directing Lookout to transfer shares and supporting documents (notice, proposed order, declaration)	2.80	396.00	1,108.80
					<b>B130</b>	<b>3,405.60</b>
06/02/2023	CRM	B320	Conference call with K. Phelps and SEC regarding motion to modify the distribution plan	0.10	396.00	39.60
06/02/2023	CRM	B320	Drafted memo regarding conference call with K. Phelps and SEC regarding motion to modify distribution plan	0.20	396.00	79.20
06/05/2023	CRM	B320	Conference call with K. Phelps and IAC regarding motion to modify the distribution plan	0.50	396.00	198.00
06/05/2023	CRM	B320	Drafted memo regarding conference call with K. Phelps and IAC regarding motion to modify the distribution plan	1.00	396.00	396.00
06/05/2023	CRM	B320	Reviewed email from K. Phelps regarding revising draft motion to modify the distribution plan; revised draft motion and exhibits	1.50	396.00	594.00
06/06/2023	CRM	B320	Revised motion to modify the distribution plan and supporting exhibits	3.10	396.00	1,227.60
06/07/2023	CRM	B320	Revised motion to modify the distribution plan and supporting exhibits	5.50	396.00	2,178.00
06/08/2023	CRM	B320	Revised supporting documents for motion to modify distribution plan (proposed order, notice of motion, etc)	1.00	396.00	396.00
06/08/2023	CRM	B320	Revised declaration of K. Phelps in support of motion to modify distribution plan	5.20	396.00	2,059.20
06/09/2023	CRM	B320	Revised K. Phelps declaration in support of motion to modify the plan of distribution; sent to K. Phelps	4.30	396.00	1,702.80
06/12/2023	CRM	B320	Revised motion to modify distribution plan; sent to K. Phelps	1.30	396.00	514.80
06/29/2023	CRM	B320	Reviewed emails between K. Phelps and J. Damasco regarding declaration in support of motion to modify distribution plan	0.10	396.00	39.60
					<b>B320</b>	<b>9,424.80</b>
			Sub-total Fees:			\$14,850.00



**Rate Summary**

Christina McPhaul	37.50 hours at \$396.00/hr	\$14,850.00
Total hours:	37.50	\$14,850.00

Total Current Billing:	\$14,850.00
Previous Balance Due:	\$17,305.20
<b>Total Now Due:</b>	<b>\$32,155.20</b>

**Payment Terms: Due Upon Receipt**

**Payment Options:**

**Pay Online:**

**Bill.com:** <https://app.bill.com/p/rainesfeldmanllp>

**Credit Card:** <https://secure.lawpay.com/pages/rainesfeldman/operating>

**Pay by Wire/ACH:**

Citibank, N.A.  
Routing No.: 321171184  
Account No.: 208211219  
BIC/Swift Code: CITI US 33  
Account Title: Raines Feldman LLP  
Client Name & Invoice #

**Pay by Check via Mail:**

Raines Feldman LLP LA Operating  
File 2549  
1801 W Olympic Blvd  
Pasadena, CA 91199-2549