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**RAINES FELDMAN LLP**  
KATHY BAZOIAN PHELPS (SBN 155564)  
*kphelps@raineslaw.com*  
1800 Avenue of the Stars, 12th Floor  
Los Angeles, California 90067  
Telephone: (310) 440-4100

*Successor Receiver*

**UNITED STATES DISTRICT COURT**  
**NORTHERN DISTRICT OF CALIFORNIA**  
**SAN FRANCISCO DIVISION**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

JOHN V. BIVONA; SADDLE RIVER  
ADVISORS, LLC; SRA MANAGEMENT  
ASSOCIATES, LLC; FRANK GREGORY  
MAZZOLA,

Defendants, and

SRA I LLC; SRA II LLC; SRA III LLC;  
FELIX INVESTMENTS, LLC; MICHELE  
J. MAZZOLA; ANNE BIVONA; CLEAR  
SAILING GROUP IV LLC; CLEAR  
SAILING GROUP V LLC,

Relief Defendants.

Case No. 3:16-cv-01386-EMC

CERTIFICATE OF SERVICE

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CERTIFICATE OF SERVICE ON ALL INTERESTED PARTIES

I am a citizen of the United States and employed in Los Angeles, California. I am over the age of eighteen years and not a party to the within entitled action. My business address is 1800 Avenue of the Stars, 12<sup>th</sup> Floor, Los Angeles, CA 90067. On April 28, 2021, I served a copy of the within document(s):

**ADMINISTRATIVE MOTION BY RECEIVER KATHY BAZOIAN PHELPS PURSUANT TO LOCAL CIVIL RULE 7-11 FOR ORDER APPROVING SUBSTITUTION OF COUNSEL AND EMPLOYMENT OF RAINES FELDMAN LLP**

**DECLARATION OF KATHY BAZOIAN PHELPS IN SUPPORT OF ADMINISTRATIVE MOTION BY RECEIVER KATHY BAZOIAN PHELPS PURSUANT TO LOCAL CIVIL RULE 7-11 FOR ORDER APPROVING SUBSTITUTION OF COUNSEL AND EMPLOYMENT OF RAINES FELDMAN LLP**

**DECLARATION OF DAVID CASTLEMAN IN SUPPORT OF ADMINISTRATIVE MOTION BY RECEIVER KATHY BAZOIAN PHELPS PURSUANT TO LOCAL CIVIL RULE 7-11 FOR ORDER APPROVING SUBSTITUTION OF COUNSEL AND EMPLOYMENT OF RAINES FELDMAN AS GENERAL COUNSEL**

**[PROPOSED] ORDER GRANTING ADMINISTRATIVE MOTION BY RECEIVER KATHY BAZOIAN PHELPS PURSUANT TO LOCAL CIVIL RULE 7-11 FOR ORDER APPROVING EMPLOYMENT OF RAINES FELDMAN LLP**

X **TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC**

**FILING (NEF)**: Pursuant to controlling General Order and LBR, the document listed above will be served by the court via ECF and hyperlink to the document.

On April 28, 2021, at the email address(es) stated below:

- **Bethany Caracuzzo**  
bc@pritzkerlevine.com,ecp@pritzkerlevine.com
- **David A. Castleman**  
dcastleman@raineslaw.com,bclark@raineslaw.com
- **Karen Kim Diep**  
kdiep@diamonddmccarthy.com

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- **Patrick Edward Gibbs**  
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- **Daniel Peter Goldberg , LLP**  
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- **Marc David Katz**  
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- **Jonathan Krasne Levine**  
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- **Timothy Alan Miller**  
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- **Esfand Yar Nafisi**  
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- **Kathy Bazoian Phelps**  
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- **Kathy Bazoian Phelps**  
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- **Elizabeth Cheryl Pritzker**  
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- **Karen A. Sebaski**  
ksebaski@hsgllp.com,managingclerk@hsgllp.com,crodriguez@hsgllp.com
- **Pradeep Sindhu**  
wendy@bindermalter.com

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- **Elizabeth Harper Skey**  
eskey@cooley.com,jcorrell@cooley.com
- **Wendy Watrous Smith**  
wendy@bindermalter.com,andrea@bindermalter.com
- **Christopher Daniel Sullivan**  
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7954981420@filings.docketbird.com,rfix@diamondmccarthy.co  
m,quentin.roberts@diamondmccarthy.com,erika.shannon@diamo  
ndmccarthy.com,aiemee.low@diamondmccarthy.com,rbahadurji  
@diamondmccarthy.com
- **Telesoft Capital LLC**  
agadmin@telesoft.com

X **SERVED VIA ELECTRONIC TRANSMISSION/EMAIL:** On April 28, 2021,  
I served the following persons and/or entities by transmitting via electronic mail the  
document(s) listed above to the addresses set forth below:

**See attached Service List**

**SERVED VIA UNITED STATES MAIL:** On April \_\_, 2021, I served the  
following persons and/or entities at the last known addresses by placing a true  
and correct copy thereof in a sealed envelope in the United States mail, first  
class, postage prepaid, and addressed as follows:

**See attached Service List**

I declare under penalty of perjury under the laws of the United States of America that the  
above is true and correct. Executed on April 28, 2021, at Los Angeles, California.

/s/ Stephanie Segovia  
Stephanie Segovia

**EMAIL SERVICE LIST**  
**Case No. 3:16-cv-01386-EMC**

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